



US009269138B2

(12) **United States Patent**
Zahniser et al.

(10) **Patent No.:** **US 9,269,138 B2**
(45) **Date of Patent:** ***Feb. 23, 2016**

(54) **CONTROLLED DISPENSING OF SAMPLES
ONTO SUBSTRATES**

(58) **Field of Classification Search**

None

See application file for complete search history.

(71) Applicant: **Roche Diagnostics Hematology, Inc.**,
Westborough, MA (US)

(56) **References Cited**

(72) Inventors: **Russell Zahniser**, Dorchester, MA (US);
David Zahniser, Wellesley, MA (US);
Stephen Conroy, Maynard, MA (US);
Michael Zahniser, Jamaica Plain, MA
(US)

U.S. PATENT DOCUMENTS

| | | | |
|--------------|------|---------|----------------------------|
| 4,618,261 | A | 10/1986 | Flanders et al. |
| 5,416,609 | A | 5/1995 | Matsuda et al. |
| 5,437,242 | A | 8/1995 | Hofstetter et al. |
| 5,953,125 | A | 9/1999 | de Groot et al. |
| 6,319,470 | B1 * | 11/2001 | Lefevre et al. 422/65 |
| 2004/0131758 | A1 | 7/2004 | Jung et al. |
| 2005/0045653 | A1 * | 3/2005 | Tanaka et al. 222/1 |
| 2005/0151092 | A1 | 7/2005 | Kitagawa |

(73) Assignee: **Roche Diagnostics Hematology, Inc.**,
Westborough, MA (US)

(*) Notice: Subject to any disclaimer, the term of this
patent is extended or adjusted under 35
U.S.C. 154(b) by 136 days.

This patent is subject to a terminal dis-
claimer.

(Continued)

OTHER PUBLICATIONS

International Search Report and Written Opinion mailed Dec. 9, 2013
in International Application No. PCT/US2013/050519, 12 pgs.

(Continued)

(21) Appl. No.: **13/942,330**

Primary Examiner — Hadi Akhavannik

(22) Filed: **Jul. 15, 2013**

(74) *Attorney, Agent, or Firm* — Fish & Richardson P.C.

(65) **Prior Publication Data**

US 2014/0016841 A1 Jan. 16, 2014

(57) **ABSTRACT**

Methods for dispensing a fluid sample on a substrate include obtaining an image of a sample applicator in proximity to the substrate, where the image includes a first image of the sample applicator and a second image of the sample applicator, determining a height of the sample applicator relative to a surface plane of the substrate based on a distance between common portions of the first and second images, and dispensing the fluid sample onto the substrate using the sample applicator, where the dispensing includes: translating the sample applicator, translating the substrate, or translating both the sample applicator and the substrate to effect a relative translation between the sample applicator and the substrate; and maintaining the sample applicator within 2 microns of a target height relative to the surface plane of the substrate during the translating.

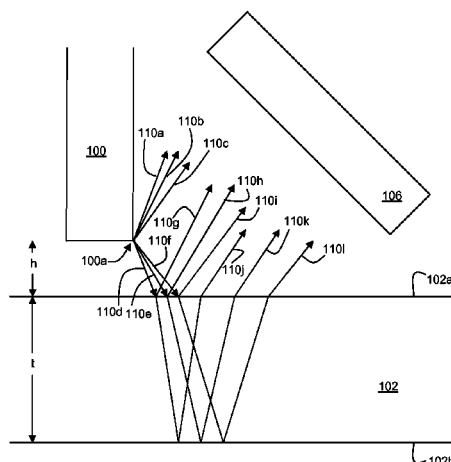
Related U.S. Application Data

(60) Provisional application No. 61/671,600, filed on Jul.
13, 2012.

(51) **Int. Cl.**
G06K 9/00 (2006.01)
G06T 7/00 (2006.01)
G01N 1/28 (2006.01)
G01N 35/10 (2006.01)

(52) **U.S. Cl.**
CPC **G06T 7/0012** (2013.01); **G01N 1/2813**
(2013.01); **G01N 35/1009** (2013.01); **G06T**
7/004 (2013.01); **G06T 2207/10056** (2013.01)

13 Claims, 9 Drawing Sheets



(56)

References Cited

U.S. PATENT DOCUMENTS

| | | | | |
|--------------|------|---------|-------------------|---------|
| 2005/0212837 | A1 | 9/2005 | Nakagawa et al. | |
| 2006/0144331 | A1 | 7/2006 | Hanafusa et al. | |
| 2008/0210894 | A1 | 9/2008 | Ahn et al. | |
| 2009/0269799 | A1 | 10/2009 | Winkelman et al. | |
| 2010/0021348 | A1 | 1/2010 | Baldassari et al. | |
| 2011/0255785 | A1 * | 10/2011 | Hara et al. | 382/182 |
| 2012/0024416 | A1 * | 2/2012 | Golias et al. | 141/1 |

OTHER PUBLICATIONS

Non-Final Office Action, U.S. Appl. No. 13/549,251, issued on Dec. 3, 2014, 12 pages.

Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, International Application No. PCT/US2012/046784, issued on Sep. 17, 2012, 14 pages.

Notification Concerning Transmittal of International Preliminary Report on Patentability, International Application No. PCT/US2012/046784, issued on Feb. 6, 2014, 9 pages.

International Preliminary Report on Patentability issued in International Application No. PCT/US2013/050519 on Jan. 22, 2015, 6 pages.

* cited by examiner

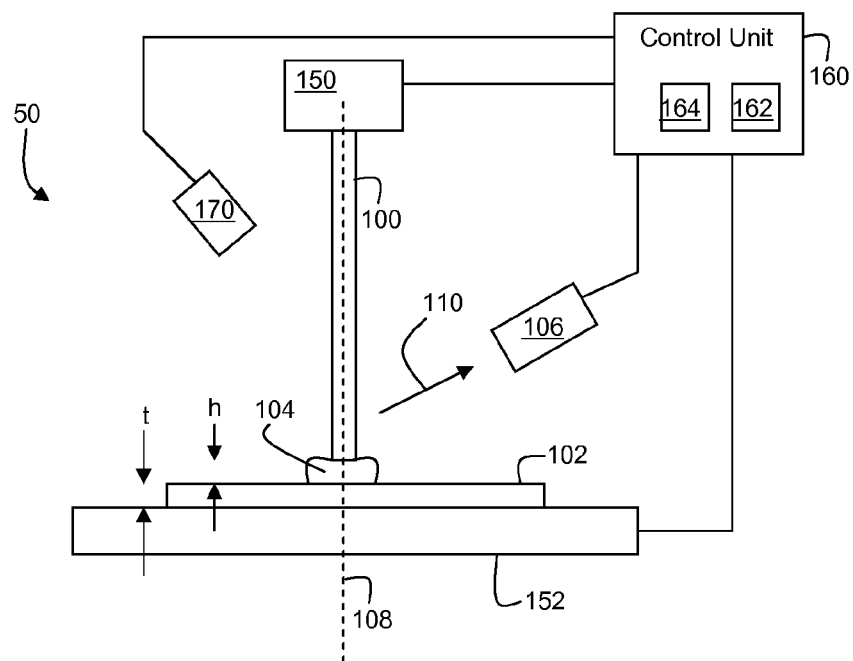


FIG. 1A

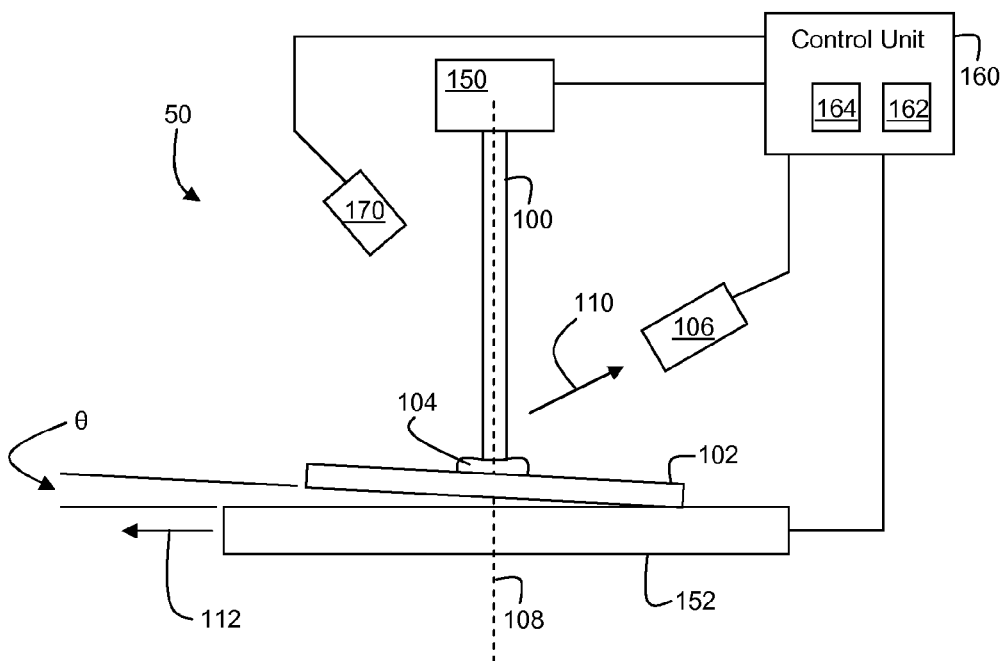


FIG. 1B

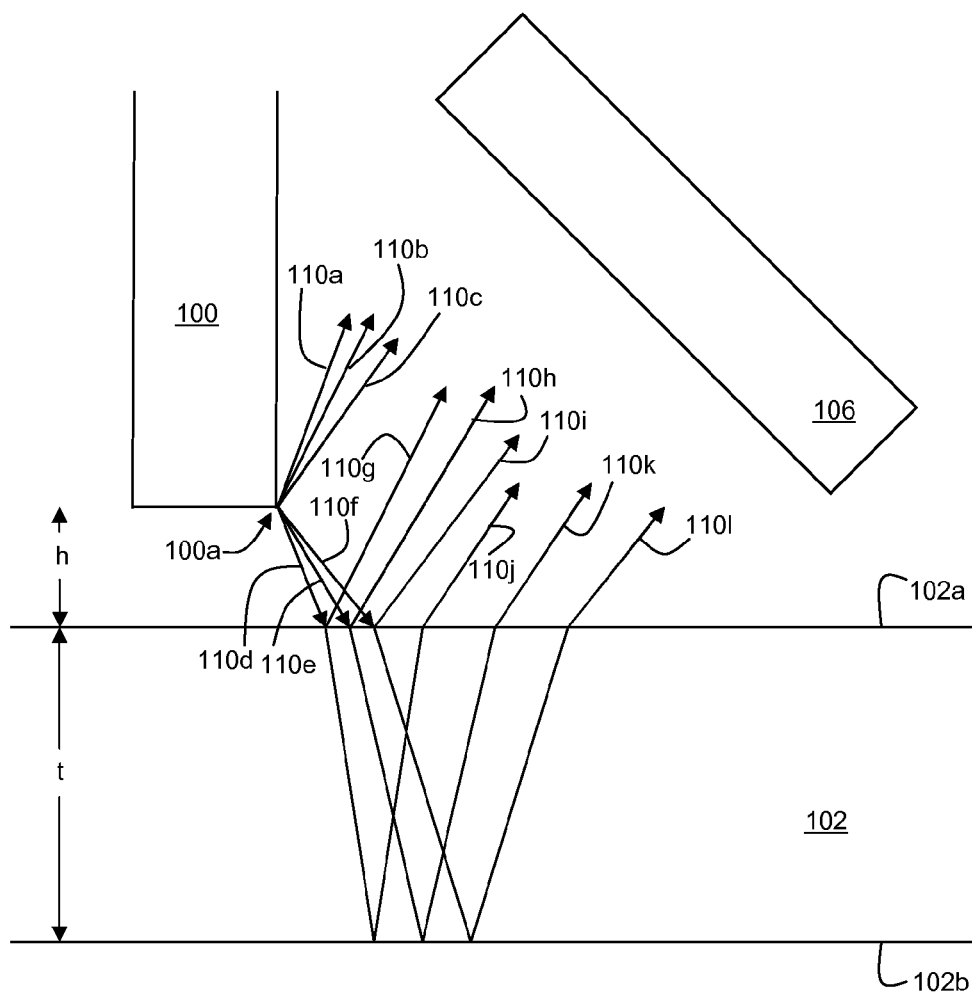


FIG. 2

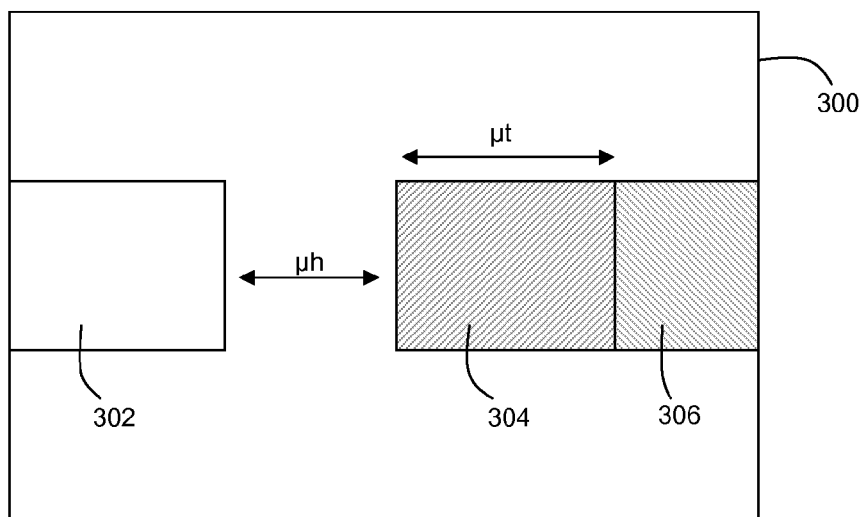


FIG. 3

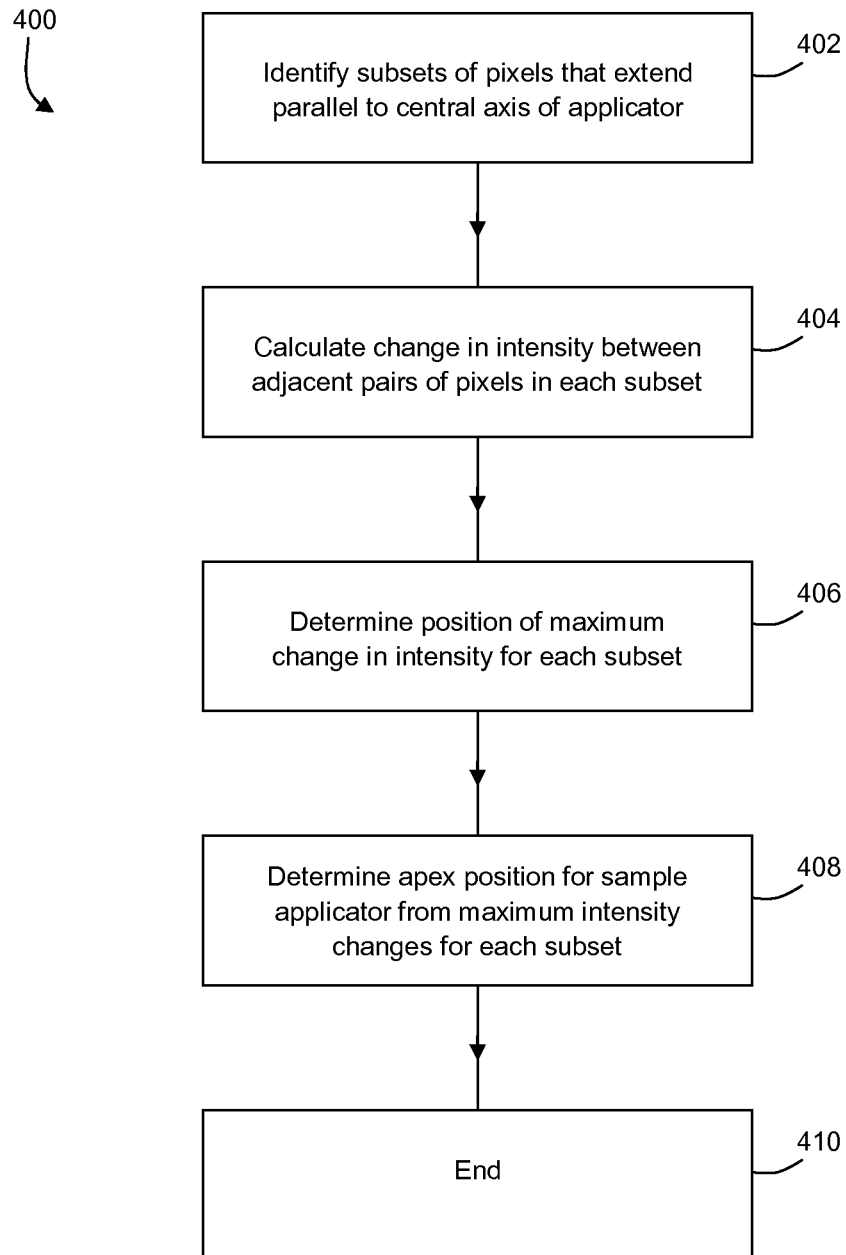
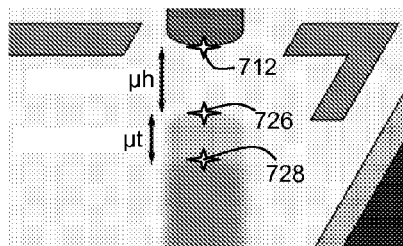
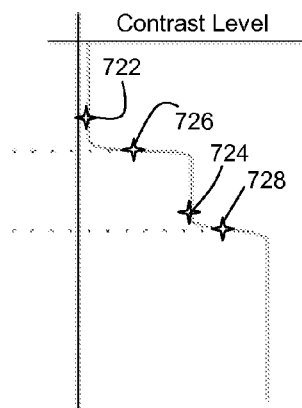
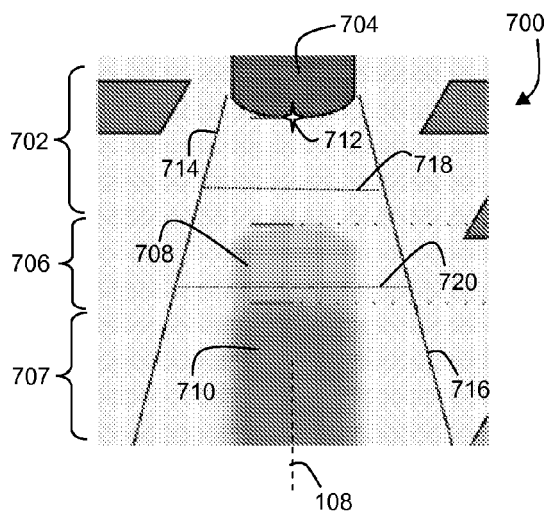
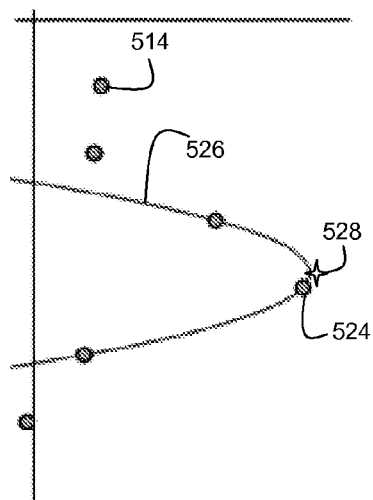
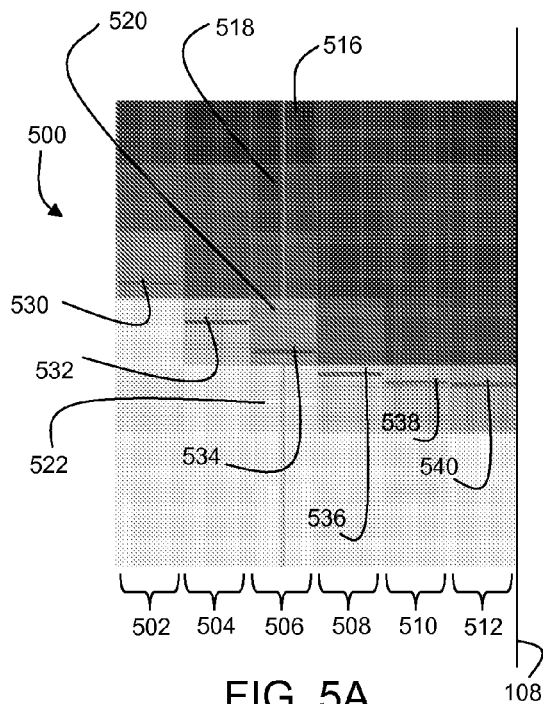


FIG. 4



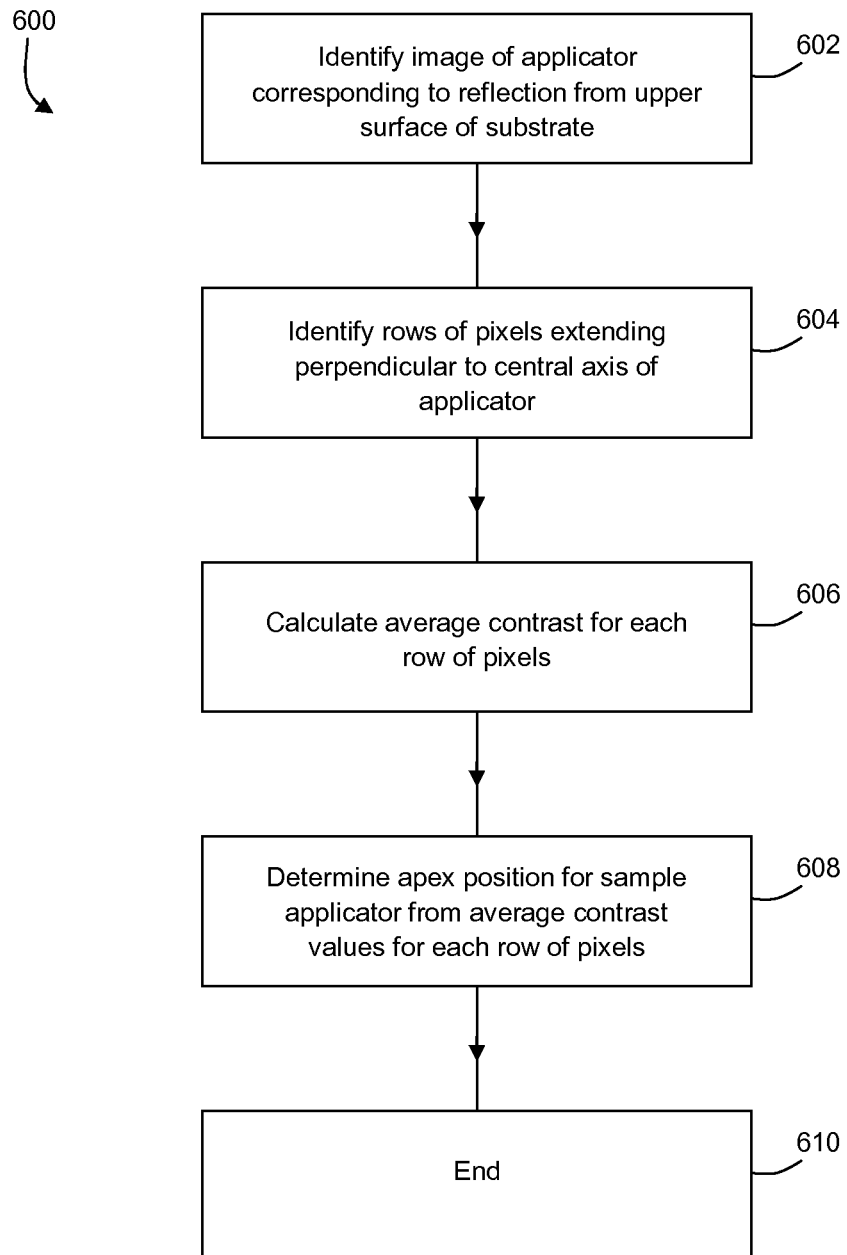


FIG. 6

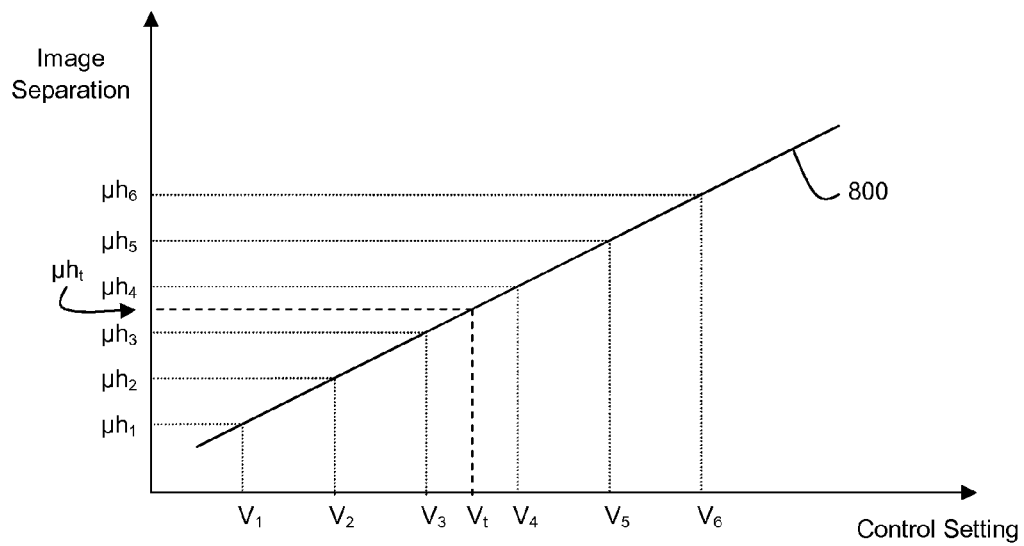


FIG. 8

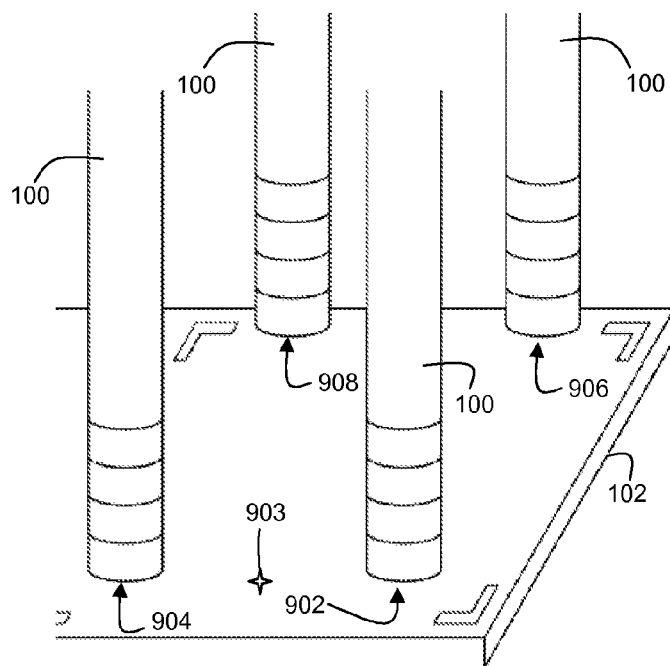


FIG. 9

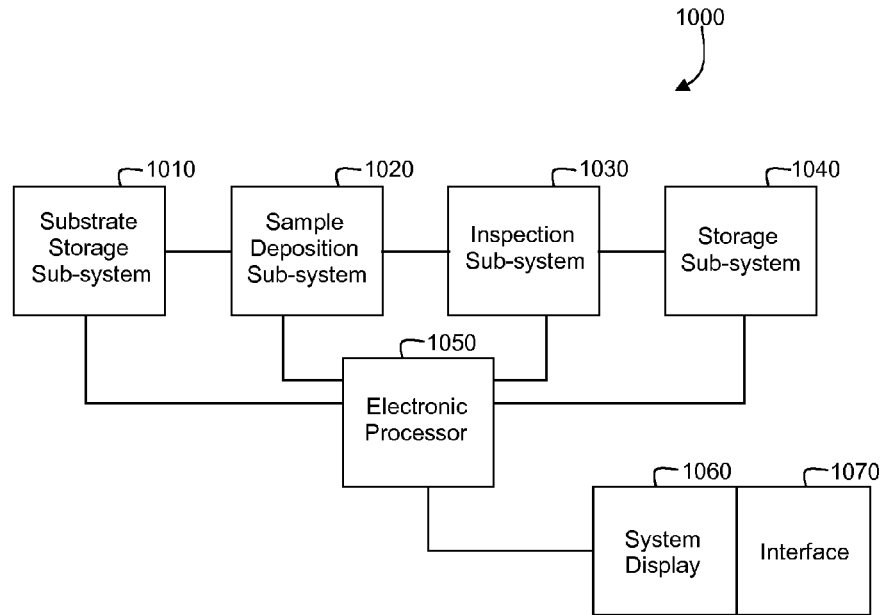


FIG. 10

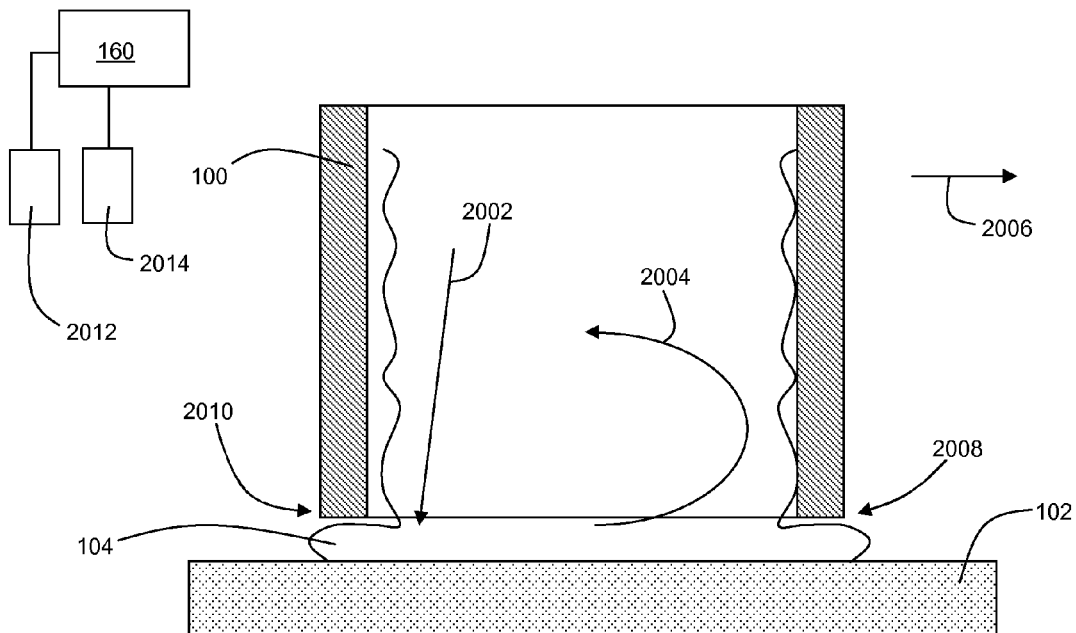


FIG. 11

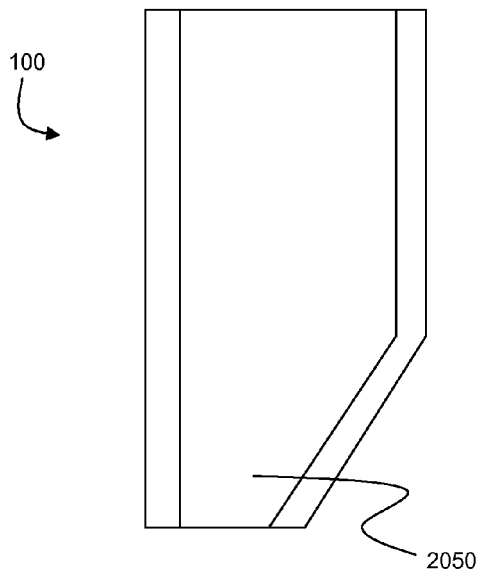


FIG. 12A

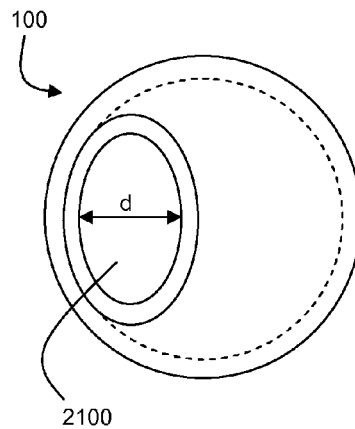


FIG. 12B

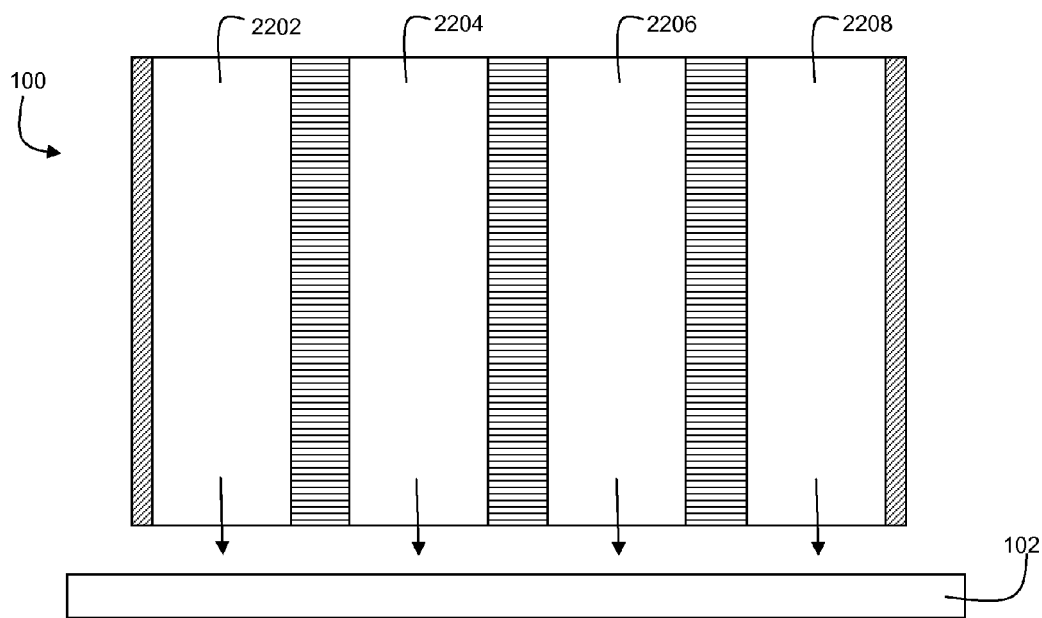


FIG. 13

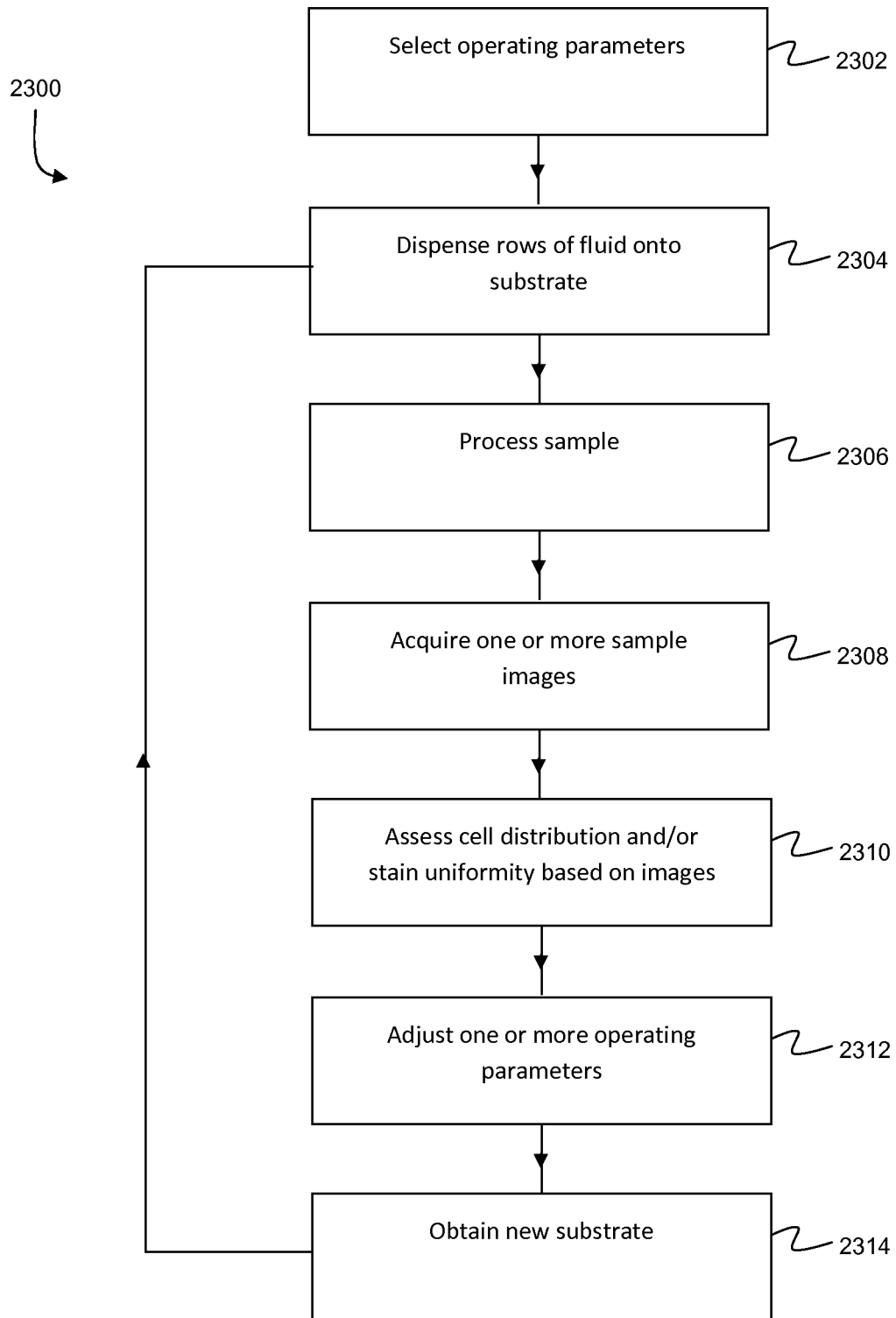


FIG. 14

1

CONTROLLED DISPENSING OF SAMPLES ONTO SUBSTRATES

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Provisional Patent Application No. 61/671,600, filed on Jul. 13, 2012, the entire contents of which are incorporated herein by reference.

TECHNICAL FIELD

This disclosure relates to methods and systems for controlled dispensing of liquid samples onto substrates.

BACKGROUND

Conventional methods for analyzing biological samples such as blood or body fluids typically include two steps. First, an automated system performs a quantitative analysis of sample characteristics on the sample in a liquid state. For example, a flow cytometer using impedance, fluorescence, and/or scattered light-based measurements processes a sample of blood suspended in a fluid stream to count red blood cells, white blood cells, platelets, and to derive other parameters of a complete blood count. Second, to the extent the flow system detects any abnormalities in the sample (e.g., an abnormally high white blood cell count), the system flags the sample and a laboratory technician reviews the sample manually by examining a dried and stained preparation of the sample on a microscope slide.

To facilitate manual review, the technician typically prepares a “wedge” smear of the blood sample. Smear preparations yield samples with highly variable thicknesses and distribution of blood constituents. The wedge smear often has only a single narrow band with an appropriate cell density for examination and analysis, and the location and shape of this band varies from slide to slide. In addition, due to a lack of uniformity, smear preparations often preclude absolute quantitation of sample properties for a given patient. In general, only relative proportions can be assessed within the smear itself.

A sample preparation method that produces a uniform, high-quality specimen would make visual evaluation of the sample both easier and more accurate. Furthermore, for specimens prepared using a known volume of the sample in a highly consistent manner, it is possible to automate the quantitation of sample properties directly from the specimen, replacing the first step of sample analysis traditionally performed using flow-based systems.

SUMMARY

The systems and methods disclosed herein are used during automated sample preparation in which sample applicators are used to dispense fluid samples onto substrates such as microscope slides in a carefully controlled manner. Successfully dispensing fluids to obtain samples of relatively uniform distribution across a substrate depends, in part, on controlling the position of the sample applicator relative to the surface of the substrate that receives the sample. To achieve precise control over the sample applicator, the methods and systems disclosed herein are configured to determine the position of the sample applicator relative to the substrate surface based on one or more images of the applicator, including images reflected from the substrate surface. The image(s) include both a direct image region that corresponds to a direct (e.g.,

2

non-reflected) image of the sample applicator above the substrate, and a first reflected image region that corresponds to an image of the sample applicator that is reflected from the substrate surface.

5 The position of the applicator relative to the substrate surface can be determined based on the distance between the edges of the sample applicator in the direct image region and the first reflected image region. The methods and the systems that implement the methods can be extended to determine the position of the sample applicator at multiple transverse locations relative to the substrate. By initially determining a set of suitable positions of the applicator relative to the substrate surface (e.g., at the corners of the substrate), suitable control signals for producing desired applicator positions can be interpolated at other locations on the substrate surface. In this manner, precise control over the position of the sample applicator relative to the substrate surface can be achieved during dispensing of a fluid sample onto the substrate.

In general, in a first aspect, the disclosure features methods for preparing a sample on a substrate, the methods including: (a) obtaining an image of a sample applicator in proximity to the substrate, the image including a direct image region corresponding to the sample applicator and a first reflected image region corresponding to an image of the sample applicator reflected from a surface of the substrate; (b) determining a position of an edge of the sample applicator in the direct image region; (c) determining a position of a reflected edge of the sample applicator in the first reflected image region; (d) determining a distance between the edge of the sample applicator and the reflected edge of the sample applicator; (e) determining the position of the sample applicator relative to a surface of the substrate based on the distance between the edges; and (f) dispensing the sample onto the substrate using the sample applicator, where during the dispensing the position of the sample applicator relative to the substrate is maintained.

Embodiments of the methods can include any one or more of the following features.

The methods can include translating the sample applicator relative to the substrate during the dispensing. The sample applicator can extend along an axial direction, and an inner surface of the sample applicator can be beveled at an angle to the axial direction. The sample applicator can include a channel having an inside diameter of between 300 microns and 650 microns. The sample applicator can include a hollow tubular member and a coating formed on an outer surface of the tubular member. The sample applicator can include a needle.

The sample applicator can include a channel through which the sample flows, and the channel can have a non-circular cross-sectional shape. The cross-sectional shape of the channel can be oval.

The sample applicator can be translated relative to the substrate at a speed of between 80 mm/s and 90 mm/s during the dispensing. The sample can be dispensed onto the substrate at a rate of 0.05 $\mu\text{L/s}$ or more. The sample can be dispensed onto the substrate in a pattern of adjacent rows, where a separation between adjacent rows during the dispensing is between 0.20 mm and 0.60 mm.

The sample can include blood and the substrate can be a microscope slide.

Embodiments of the methods can also include any other features or steps disclosed herein, in any combination, as appropriate.

In another aspect, the disclosure features systems that include a sample applicator and an electronic processor, where the electronic processor is configured to dispense a

3

sample onto a substrate using any one of the methods disclosed above and/or elsewhere herein. Embodiments of the systems can include any of the features disclosed herein, in any combination, as appropriate.

In a further aspect, the disclosure features methods for dispensing a fluid sample on a substrate that include obtaining an image of a sample applicator in proximity to the substrate, where the image includes a first image of the sample applicator and a second image of the sample applicator, determining a height of the sample applicator relative to a surface plane of the substrate based on a distance between common portions of the first and second images, and dispensing the fluid sample onto the substrate using the sample applicator, where the dispensing includes: translating the sample applicator, translating the substrate, or translating both the sample applicator and the substrate to effect a relative translation between the sample applicator and the substrate; and maintaining the sample applicator within 2 microns of a target height relative to the surface plane of the substrate during the translating.

Embodiments of the methods can include any one or more of the following features.

The first image can be located in a first image region of the sample applicator image, and the second image can be located in a second image region different from the first image region of the sample applicator image. The first image can be a direct image of the sample applicator, and the second image can be an image of the sample applicator reflected from a surface of the substrate.

Determining a height of the sample applicator relative to a surface plane of the substrate can include determining a first position of an edge of the sample applicator in the first image, determining a second position of a corresponding edge of the sample applicator in the second image, and determining the height of the sample applicator based on a difference between the first and second positions.

The substrate can include a microscope slide, and the fluid sample can be blood.

The substrate can have a rectangular surface formed by orthogonal long and short edges of the substrate, and the methods can include dispensing a plurality of rows of the fluid sample onto the rectangular surface by effecting a relative translation between the sample applicator and the substrate in a direction parallel to the long edges of the rectangular surface. The substrate can have a rectangular surface formed by orthogonal long and short edges of the substrate, and the methods can include dispensing a plurality of rows of the fluid sample onto the rectangular surface by effecting a relative translation between the sample applicator and the substrate in a direction parallel to the short edges of the rectangular surface. The substrate can have a rectangular surface formed by orthogonal long and short edges of the substrate, and the methods can include dispensing a plurality of rows of the fluid sample onto the rectangular surface by effecting a relative translation between the sample applicator and the substrate at an angle to both the long and short edges of the rectangular surface.

Translating the substrate can include translating a stage on which the substrate is mounted while the sample applicator remains fixed in position.

The target height can be between 9 microns and 15 microns.

The fluid sample can include blood. The methods can include obtaining an image of blood cells from the fluid sample on the substrate, and assessing at least one of a distribution of the blood cells on the substrate and a uniformity of staining of the blood cells on the substrate. The methods can include adjusting an operating parameter of a fluid dispensing

4

system that includes the sample applicator based on the at least one of a distribution of the blood cells on the substrate and a uniformity of staining of the blood cells on the substrate. The operating parameter can include at least one of a rate at which the fluid sample is dispensed from the sample applicator, and a relative translation speed between the sample applicator and the substrate. The fluid sample can be dispensed onto the substrate in a plurality of successive rows, and the operating parameter can include at least one of a spacing between adjacent rows of fluid, and a length of the rows of fluid. The operating parameter can include at least one of a temperature of the fluid sample, and a relative humidity within the fluid dispensing system.

The methods can include effecting a relative translation speed between the sample applicator and the substrate of between 40 mm/s and 90 mm/s to dispense the fluid sample. The methods can include dispensing the fluid sample from the sample applicator at a rate of between 0.035 $\mu\text{L/s}$ and 0.075 $\mu\text{L/s}$. The methods can include dispensing the fluid sample onto the substrate in a plurality of successive rows, where a spacing between adjacent rows is between 0.20 mm and 0.60 mm.

The methods can include, before dispensing the fluid sample onto the substrate, obtaining information about the composition of the sample, obtaining a set of pre-defined operating parameters based upon the information, and applying the pre-defined operating parameters to configure a fluid dispensing system comprising the sample applicator. The set of pre-defined operating parameters can include at least one member selected from the group consisting of the target height of the sample applicator, a rate at which the fluid sample is dispensed from the sample applicator, a relative translation speed between the sample applicator and the substrate, a spacing between adjacent rows of fluid dispensed by the sample applicator on the substrate, a length of rows of fluid dispensed by the sample applicator on the substrate, a temperature of the fluid sample, and a relative humidity within the fluid dispensing system.

Embodiments of the methods can also include any of the other features or steps disclosed herein, in any combination, as appropriate.

In another aspect, the disclosure features systems for dispensing a fluid sample on a substrate that include a sample applicator, a detector configured to obtain images of the sample applicator, and an electronic processor, where the electronic processor is configured to obtain an image of the sample applicator in proximity to the substrate, where the image includes a first image of the sample applicator and a second image of the sample applicator, determine a height of the sample applicator relative to a surface plane of the substrate based on a distance between common portions of the first and second images, and dispense the fluid sample onto the substrate using the sample applicator, where the dispensing includes: translating the sample applicator, translating the substrate, or translating both the sample applicator and the substrate to effect a relative translation between the sample applicator and the substrate; and maintaining the sample applicator within 2 microns of a target height relative to the surface plane of the substrate during the translating.

Embodiments of the systems can include any one or more of the following features.

The sample applicator can extend along an axial direction, and an inner surface of the sample applicator can be beveled at an angle to the axial direction.

The sample applicator can include an internal fluid transport channel having an inner diameter of between 200

5

microns and 650 microns. The sample applicator can have an outer diameter, and a ratio of the outer diameter to the inner diameter can be 1.5 or less.

The sample applicator can include a flexible hollow tubular member and a rigid coating surrounding the tubular member. The sample applicator can include an internal fluid transport channel with a non-circular cross-sectional shape. The cross-sectional shape of the fluid transport channel can be oval. The sample applicator can include a plurality of internal fluid transport channels positioned in a linear array.

The first image can be a direct image of the sample applicator and the second image can be an image of the sample applicator reflected from a surface of the substrate, and the electronic processor can be configured to determine the height of the sample applicator relative to a surface plane of the substrate by determining a first position of an edge of the sample applicator in the first image, determining a second position of a corresponding edge of the sample applicator in the second image, and determining the height of the sample applicator based on a difference between the first and second positions.

The electronic processor can be configured to dispense a plurality of rows of the fluid sample onto a rectangular surface of the substrate by effecting a relative translation between the sample applicator and the substrate in a direction parallel to long edges of the rectangular surface. The electronic processor can be configured to dispense a plurality of rows of the fluid sample onto a rectangular surface of the substrate by effecting a relative translation between the sample applicator and the substrate in a direction parallel to short edges of the rectangular surface. The electronic processor can be configured to dispense a plurality of rows of the fluid sample onto a rectangular surface of the substrate by effecting a relative translation between the sample applicator and the substrate at an angle to both long and short edges of the rectangular surface.

The systems can include a stage configured to support the substrate, where the electronic processor is configured to effect the relative translation between the sample applicator and the substrate by activating the stage.

The target height can be between 9 microns and 15 microns.

The systems can include a second detector configured to obtain images of cells from the fluid sample on the substrate, where the electronic processor is configured to analyze one or more images of the cells to determine at least one of a distribution of the cells on the substrate and a uniformity of staining of the cells on the substrate. The electronic processor can be configured to adjust an operating parameter of the fluid dispensing system based on the at least one of a distribution of the blood cells on the substrate and a uniformity of staining of the blood cells on the substrate. The operating parameter can include at least one of a rate at which the fluid sample is dispensed from the sample applicator, and a relative translation speed between the sample applicator and the substrate. The electronic processor can be configured to dispense the fluid sample onto the substrate in a plurality of successive rows, and the operating parameter can include at least one of a spacing between adjacent rows of fluid, and a length of the rows of fluid. The operating parameter can include at least one of a temperature of the fluid sample, and a relative humidity within the fluid dispensing system.

Embodiments of the systems can also include any of the other features disclosed herein, in any combination, as appropriate.

As used herein, the term "sample applicator" refers to a device that dispenses a sample onto a substrate. Typically,

6

although not always, sample applicators include a fluid conduit for dispensing fluid samples. Sample applicators can include pipettes, needles, and tubes, for example.

A "sample" is a solution, a suspension, a liquid, or another type of fluid sample dispensed by the sample applicator onto a surface of the substrate. A sample can be a biological specimen such as blood, for example.

A "substrate" is a member onto which a sample can be dispensed. Typically, but not always, substrates have a planar receiving surface onto which the sample can be dispensed by the sample applicator. An example substrate is a microscope slide, or any other reflective material capable of supporting a sample.

The "upper surface" of the substrate corresponds to the substrate surface closest to the sample applicator. The "lower surface" of the substrate corresponds to the substrate surface opposite to the upper surface.

A "direct image region" corresponds to a region in an image that includes a direct image of the sample applicator. A direct image is an image that has not been reflected from a surface of the substrate. A "first reflected image region" is a region, typically from the same image, that includes an image of the sample applicator that has been reflected from a surface of the substrate (either the upper surface or the lower surface). A "second reflected image region" is a region, typically from the same image, that includes an image of the sample applicator that has also been reflected from a surface of the substrate. In some embodiments, the first and second reflected image regions correspond to images of the sample applicator that have been reflected from different surfaces of the substrate (e.g., the upper and lower surfaces, respectively).

An "edge of the sample applicator" refers to a boundary, in the direct image region, that demarcates the sample applicator from other features in the direct image region. A "reflected edge of the sample applicator" refers to a boundary, in the first reflected image region, that demarcates the reflected image of the sample applicator from other features in the first reflected image region.

When comparing the intensities $I(1)$ and $I(2)$ of pixels 1 and 2, respectively, in a given image region, the "change in intensity" corresponds to $I(2) - I(1)$. Typically, the sample applicator in an image region appears as a dark feature against a brighter background (e.g., the background is of higher intensity). Accordingly, the change in intensity will be positive when pixel 2 is a background pixel and pixel 1 corresponds to the sample applicator.

The quantity "contrast level" is a measure of the intensity variability among a set of pixels. Contrast level can be calculated as follows: first, by selecting from the pixel set a first subset of pixels with the highest intensities among the pixels in the set, and a second subset with the lowest intensities among pixels in the set; and second, by calculating the difference between the average intensities for the two subsets. The subsets can be a fixed number of pixels or proportional to the size of the pixel set (e.g., $1/5$ of the pixels in the set). For example, the average intensity for the subset of pixels with the highest intensities can correspond to the average of the n largest pixel intensities for a particular row of pixels in an image. The average intensity for the subset of pixels with the lowest intensities can correspond to the average of the m smallest pixel intensities for the particular row. The values of n and m can be different, or the same. For example, n can have a value of 10, but can range from 1 through the total number of pixels for the row. In addition, m can have a value of 10, but can range from 1 through the total number of pixels for the

row. Typically, the values of n and m are selected for the set of pixels so that none of the pixels selected is common to both the first and second subsets.

The "height" of the sample applicator refers to the minimum distance between the upper surface of the substrate and the portion of the sample applicator nearest to the upper surface. For an applicator with an extended central axis (e.g., a pipette or tube) oriented perpendicular to the substrate surface, the height is measured along a direction parallel to the central axis. For an applicator without an extended central axis or a central axis that is not oriented perpendicular to the substrate surface, the height is measured in a direction parallel to a surface normal of the substrate surface.

The "location" of the sample applicator relative to the substrate corresponds to the applicator's two-dimensional displacement, in a plane parallel to the upper surface of the substrate, relative to a reference position on the substrate surface.

The "thickness" of the substrate corresponds to a maximum dimension of the substrate measured between the upper and lower surfaces in a direction perpendicular to the plane of the upper surface.

Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, suitable methods and materials are described below. All publications, patent applications, patents, and other references mentioned herein are incorporated by reference in their entirety. In case of conflict, the present specification, including definitions, will control. In addition, the materials, methods, and examples are illustrative only and not intended to be limiting.

The details of one or more embodiments are set forth in the accompanying drawings and the description below. Other features and advantages will be apparent from the description, drawings, and claims.

DESCRIPTION OF DRAWINGS

FIG. 1A is a schematic diagram showing a side view of a sample applicator positioned perpendicular to an upper surface of a substrate.

FIG. 1B is a schematic diagram showing a side view of a sample applicator positioned at an angle with respect to an upper surface of a substrate.

FIG. 2 is a schematic diagram showing a side view of a sample applicator positioned relative to a substrate and a detector that captures a direct and a reflected image of the sample applicator.

FIG. 3 is a schematic representative image showing a direct image of a sample applicator within a direct image region and two reflected images of the sample applicator within reflected image regions.

FIG. 4 is a flow chart that includes a series of steps for determining an apex position of a direct image of a sample applicator.

FIG. 5A is a schematic representative direct image of a sample applicator showing locations of maximum intensity change in each column of pixels.

FIG. 5B is a graph showing change in intensity plotted as a function of position for a column of pixels in the image of FIG. 5A.

FIG. 6 is a flow chart that includes a series of steps for determining an apex position of a reflected image of a sample applicator.

FIG. 7A is a schematic representative reflected image of a sample applicator.

FIG. 7B is a graph showing contrast level plotted as a function of row position for each row of pixels in the image of FIG. 7A.

FIG. 7C is a schematic representative image of a sample applicator showing apex positions of the sample applicator.

FIG. 8 is a schematic graph showing image separation plotted as a function of a manipulator control setting.

FIG. 9 is a schematic diagram of a substrate showing the determination of a control setting associated with the sample applicator at a plurality of locations relative to the upper surface of the substrate.

FIG. 10 is a schematic diagram of an automated sample processing system.

FIG. 11 is a schematic diagram showing a cross-sectional view of a sample applicator dispensing fluid.

FIG. 12A is a cross-sectional diagram of a sample applicator with a shouldered profile.

FIG. 12B is a schematic diagram showing an end view of a sample applicator with an oval opening.

FIG. 13 is a schematic diagram showing a cross-sectional view of a sample applicator with multiple fluid channels.

FIG. 14 is a flow chart showing steps for adjusting operating parameters of a fluid dispensing system.

Like reference symbols in the various drawings indicate like elements.

DETAILED DESCRIPTION

To accurately quantify results directly from a prepared sample on a substrate, the composition, configuration, and height or thickness of the deposited sample should be as highly uniform as possible across the substrate surface so that each analyzed sub-area of the sample is representative of the sample prepared on the substrate as a whole as well, and of the patient from whom the sample was extracted. In addition, highly consistent and uniformly prepared samples provide a basis to directly compare samples and analysis results across multiple patients. By preparing samples in a uniform manner, automated methods can be used for analysis, significantly improving throughput.

A variety of different methods can be used to prepare samples in automated fashion for subsequent analysis. To improve uniformity, a known quantity of a fluid sample (e.g., liquids, suspensions, solutions, and other mixtures such as human or animal blood or bodily fluids, with or without diluent, e.g., cells from bone marrow, urine, vaginal tissue, epithelial tissue, tumors, semen, spittle, and other body fluids) can be dispensed onto a substrate surface in a controlled manner to ensure that fluid does not build up or spread too thinly at particular locations on the substrate surface (e.g., to achieve, as closely as possible, uniformity in the sample deposited on the substrate). By way of example, the following discussion refers to the dispersal and analysis of blood samples on microscope slides. However, the methods and systems disclosed herein can be applied to the preparation and analysis of a wide variety of fluid samples, as described above, on a wide variety of substrates. Substrates can include, but are not limited to, glass or plastic microscope slides, polished or mirrored ceramic slides, polished metal substrates, plastic or other flexible specimen films, and/or any other reflective materials capable of supporting a sample. Sample Application Systems and Methods

The methods and systems disclosed herein can be used with automated sample preparation systems that dispense a known quantity of blood onto the surface of a microscope

slide using a sample applicator. Typically, the applicator moves in relation to the surface of the slide, continuously dispensing blood while moving relative to the slide. The blood can be dispensed in a variety of patterns according to the movement of the sample applicator. In some embodiments, for example, a stage holding the substrate moves the slide in a raster pattern under the sample applicator, which results in a continuous "painting" of rows of blood on the substrate surface. In certain embodiments, the applicator or stage can move in a spiral or boustrophedon pattern with each successively painted row next to the previous row painted in the opposite direction across the sample surface. By way of example, the following discussion refers to dispensing blood in a boustrophedon pattern on the substrate surface. However, more generally, the methods and systems disclosed herein can be applied to dispense fluids in a wide variety of patterns including, but not limited to, raster patterns and spiral patterns as either or both of the sample applicator or the stage supporting the substrate move during the sample deposition process.

In some embodiments, when blood is dispensed in a boustrophedon pattern, the sample applicator—positioned at a certain height above the substrate surface—sweeps across the sample surface in successive rows, dispensing a continuous volume of blood as it moves. As the rows of blood dry on the substrate surface, they coalesce to form a continuous film of blood. The uniformity of the film depends on the manner in which the rows of blood are dispensed onto the substrate. The rate at which the blood is dispensed and the spacings or overlap between successive rows of blood are chosen so that adjacent rows of blood, when they spread laterally and merge, form a film with a cellular distribution across the substrate that is as uniform as possible, e.g., one cell in thickness. Ideally, the cells within the film are deposited at a desired thickness on the substrate as densely as possible while maintaining consistent spacing between cells to minimize overlap or contact between adjacent cells (e.g., a layer of cells uniformly distributed across the surface of the substrate where each cell is separated from adjacent cells by half of the cell's thickness).

An important factor that influences the uniformity of the overall film is the uniformity of the width of the individual rows of blood deposited on a substrate. Typically, the greater the uniformity of the width of each row, the greater the uniformity of the overall film that is formed on the substrate. The width of a given row of dispensed blood is related to the height of the applicator above the substrate upper surface as the applicator is translated to new locations relative to the surface because of capillary forces within sample on the substrate contiguous with the sample being dispensed from the applicator. To achieve a uniform film with a monolayer of cells on the surface of the substrate, the capillary forces within the sample are balanced against the dispersive forces that tend to cause spreading of the dispensed rows on the substrate surface. By balancing these forces, adjacent rows merge to form a uniform film on the sample surface in which the cells and other sample constituents are dispersed neither too thinly nor too irregularly.

In addition to controlling the width of a dispensed row of blood by controlling the height of the applicator above the substrate, the viscosity of the fluid sample and the rate of speed at which the applicator and substrate are moving with respect to each other influence the width of a given row of blood dispensed onto the substrate. In general, the greater the height of the applicator above the surface (e.g., the larger the gap between the applicator and the surface), the greater the width of the dispensed rows. That is, the rate at which blood is dispensed onto the surface of the slide is related to the

volume of the region between the applicator and the substrate surface. Variations in the volume of this region are therefore related to variations in height of the applicator above the substrate surface. Thus, to dispense rows that are as nearly uniform in width, height, and cellular distribution as possible, it is important: (a) to maintain as uniform a height of the applicator above the substrate surface as possible as the applicator is translated or moved from location to location relative to the substrate surface; and (b) to dispense the sample at a flow rate that neither succumbs to the capillary forces present in the sample deposited on the substrate and contiguous with the sample in the applicator, nor overpowers these capillary forces. In addition, depositing slightly overlapping rows (e.g., overlapping by $\frac{1}{8}$, $\frac{1}{4}$, $\frac{1}{2}$, or $\frac{1}{3}$ of the sample applicator diameter) can improve the overall uniformity of a sample deposited on a substrate.

Because the viscosity and surface tension of blood are both relatively high, the amount of lateral spreading of the dispensed rows is smaller than would occur for rows of a fluid with smaller surface tension, such as water or a sample of blood mixed with a diluent. Thus, the viscosity and surface tension can be determined and monitored to ensure that the spacing between rows of blood is suitable to achieve the desired overall coverage.

It has been determined through careful experimentation that for a sample applicator having an outer diameter of about 1500 to 500 microns and an inner diameter of about 500 to 100 microns (e.g., a sample applicator with an outer diameter of about 812 microns and an inner diameter of about 431 microns), used to dispense rows of blood that cover an area of 600 square millimeters on the surface of a glass substrate, a suitable height for the applicator above the surface of the substrate (e.g., a microscope slide) is about 8 to 20 microns (e.g., 10, 12, 14, 16, or 18 microns). On average, for example, the height of the sample applicator above the surface of the glass substrate can be maintained at about 12 microns. At this height, to ensure that rows of blood are dispensed with sufficient uniformity, the height of the applicator above the substrate surface should vary by no more than 2 microns.

In addition, it has been observed that ordinary microscope slides can vary in thickness from slide to slide by as much as 25 microns between the upper and lower slide surfaces. The variation in microscope slide thicknesses can significantly alter the consistency or uniformity of samples deposited on a slide if the applicator is fixed in position in a laboratory frame of reference, without regard to the thickness of the substrate. If the thickness of the substrate varies, then maintaining the applicator at a fixed position along an axis normal to the substrate surface may result in variations in the height of the applicator above the substrate surface as the applicator and substrate move relative to one another due to the varying thickness of the substrate. Further, although substrates such as microscope slides are maintained in an orientation such that the surface of the slide is planar and nominally orthogonal to a central axis of the applicator, in practice, a substrate can be oriented such that its upper surface is angled somewhat with respect to the central axis of the applicator, further complicating the task of maintaining a constant applicator height.

Given the above constraints, methods and systems that can determine the height of the sample applicator above the upper surface of the substrate are important for ensuring that automated methods can be used to prepare blood samples that correspond to a consistent volume of analyte (e.g., 1 microliter of blood) dispersed in a sample uniformly distributed across the surface of a substrate. Further, because of the relatively small tolerance for variations in the applicator height, it is important that the applicator height be determined

11

without allowing the applicator to physically contact the substrate during sample deposition or outside a calibration process. Uncontrolled or unintended physical contact between the applicator and the substrate could potentially damage the applicator tip or displace the substrate from its original position, precluding an accurate determination of the applicator position. In addition, if the applicator tip includes a drop of sample and the drop contacts the substrate before the applicator deposits the sample onto the substrate, additional cells may be deposited onto the substrate surface, thereby erroneously affecting the quantitative results for a sample of expected volume.

The methods and systems disclosed herein can be used to determine both the height of the sample applicator above the substrate surface and the thickness of the substrate. Typically, height measurements are performed at one or more substrate surface locations. At each location, the sample applicator is scanned through a series of displacements relative to the substrate surface. At each displacement value, the height of the applicator above the substrate surface is determined, and correlated with a particular setting of the applicator manipulator that controls displacement of the applicator. At each location, a manipulator setting is determined that corresponds to the targeted height (e.g., 8, 10, 12, 14, 16, 18, or 20 microns) of the applicator above the substrate surface. In this manner, suitable positions for the applicator are determined at multiple locations on the substrate surface. At each location, the thickness of the substrate can also be determined to further refine the positional height data for the applicator.

After this information has been determined for the substrate, the applicator can dispense rows of blood without making any further measurements of the applicator height. In effect, the initial set of height measurements used to determine suitable settings for the applicator manipulator functions as calibration data for the dispensing operation. By calibrating prior to the dispensing operation, a known quantity of blood can be dispensed continuously (e.g., in rows) without performing applicator height measurements, while enabling a continuous, controlled height adjustment of the applicator to ensure that the blood is dispensed regularly and uniformly, and each row is allowed to dry for the same amount of time before an adjacent row is dispensed. This pattern of regular, uniform row dispensing helps to ensure that the resulting blood sample is as uniform as possible when it has dried.

Sample Applicator Height Determination Systems and Methods

FIG. 1A shows a schematic diagram of a system 50 that features a sample applicator 100 positioned above an upper surface of a substrate 102. Sample applicator 100 dispenses a fluid sample 104 onto substrate 102. Substrate 102 has a thickness t , and sample applicator 100 is positioned at a height h above the upper surface of substrate 102. Both t and h are measured along a direction parallel to the central axis 108 of sample applicator 100. A detector 106 is positioned to detect light rays 110 from sample applicator 100 and/or substrate 102 that propagate at an angle to central axis 108 of sample applicator 100. Sample applicator 100 is mechanically connected to a manipulator 150, and substrate 102 is supported by a stage 152. Manipulator 150 and stage 152 are electrically (e.g., by wires or wirelessly) connected to control unit 160, which includes an electronic processor 162.

In FIG. 1A, the central axis 108 of sample applicator 100 is nominally orthogonal to the plane of substrate 102. In certain embodiments, the central axis of sample applicator 100 may not be orthogonal to the plane of substrate 102. FIG. 1B shows a schematic diagram of a sample applicator 100 that

12

dispenses fluid 104 onto the surface of substrate 102. In FIG. 1B, substrate 102 is oriented at an angle θ with respect to a plane perpendicular to central axis 108 of sample applicator 100. The angle θ corresponds to the tilt angle of substrate 102 in an external coordinate system, such as the coordinate system of manipulator 150. In FIG. 1B, axis 112 corresponds to a direction along which sample applicator 102 is translated by manipulator 150. Manipulator 150 can also translate sample applicator 102 along a second axis orthogonal to the plane of FIG. 1B and to axis 112; substrate 102 can be untilted with respect to the second axis (e.g., as in FIG. 1A), or substrate 102 can be tilted with respect to the second axis. In general, the tilt angle with respect to the second axis can be the same as, or different from, the tilt angle with respect to axis 112.

FIG. 2 shows an enlarged view of the embodiment of FIG. 1A. In FIG. 2 (as in FIG. 1A), a sample applicator 100 is positioned at a height h above an upper surface of substrate 102. Fluid 104—dispensed by applicator 100—is not shown in FIG. 2 for clarity. Light rays emerging from multiple points on sample applicator 100 are captured by detector 106. By way of illustration, a series of light rays are shown emerging from point 100a on the surface of sample applicator 100. Rays 110a, 110b, and 110c emerge from point 100a and are detected directly by detector 106; these rays form a direct (e.g., unreflected) image of point 100a. In an image formed on detector 106, rays 110a-c form a portion of a direct image region that corresponds to the direct image of applicator 100.

Rays 110d, 110e, and 110f also emerge from point 100a. However, rays 100d-f initially propagate downwards toward upper surface 102a of substrate 102. Upon encountering upper surface 102a, a portion of each of rays 110d-f is reflected from the upper surface toward detector 106. The reflected portions correspond to rays 110g, 110h, and 110i. These rays form an indirect (e.g., reflected) image of point 100a in a first reflected image region of the image formed on detector 106.

Portions of rays 110d-f in FIG. 2 undergo refraction rather than reflection at upper surface 102a. These refracted rays propagate through substrate 102, and are reflected from lower surface 102b of substrate 102. Upon encountering upper surface 102a a second time, the rays again undergo refraction at the upper surface, emerge from substrate 102, and propagate toward detector 106 as rays 110j, 110k, and 110l. Rays 110j-l form a second indirect (e.g., reflected) image of point 100a in a second reflected image region of the image formed on detector 106.

FIG. 3 shows a schematic, exemplary image 300 formed on detector 106. Image 300 includes a direct image 302 of sample applicator 100, a first reflected image 304 of sample applicator 100, and a second reflected image 306 of sample applicator 100. First reflected image 304 is formed by rays reflected from upper surface 102a of substrate 102 (e.g., rays 110g-i). Second reflected image 306 is formed by rays reflected from lower surface 102b of substrate 102 (e.g., rays 110j-l).

As is evident from FIGS. 2 and 3, the direct image corresponding to rays 110a-c is displaced laterally from the reflected image corresponding to the bundle of rays 110g-i in the plane of the image formed on detector 106 by an amount μh that is related to the height h of sample applicator 100 above surface 102a of substrate 102. As sample applicator 100 is moved closer to upper surface 102a, the displacement μh between the direct and reflected images of sample applicator 100 is reduced. Conversely, as h increases, the image displacement μh also increases.

In similar fashion, the reflected image corresponding to rays 110g-i is displaced laterally from the reflected image

13

corresponding to rays 110/-/ in the image plane on detector 106 by an amount μt that is related to the thickness t of substrate 102. For thicker substrates (e.g., where the distance between surfaces 102a and 102b is larger), the displacement μt is also larger. For thinner substrates, μt is reduced.

Accordingly, by determining displacements between the direct and reflected images of sample applicator 100 in one or more images captured by detector 106, the height h of sample applicator above substrate 102 and the thickness t of substrate 102 can be estimated. Further, by calibrating image pixel measurements with known linear displacements, pixel-based measurements of height and thickness can be converted into length units (e.g., microns).

To determine the position of sample applicator 100 relative to substrate 102 (e.g., the height of sample applicator 100 above substrate 102), the displacement μh between images 302 and 304 in FIG. 3 is determined. The first step in measuring this distance is to determine an apex position for the direct image 302 of sample applicator 100. FIG. 4 is a flow chart 400 that includes a series of steps for determining an apex position of a direct image of a sample applicator. In a first step 402 in flow chart 400, a direct image of sample applicator 100 is analyzed to select pixel subsets that will be used to calculate an intensity gradient along the central axis 108 of the applicator. FIG. 5A shows a half-image 500 of a sample applicator 100. The central axis 108 of the applicator shown in the half-image is coincident with the right-most edge of image 500. In FIG. 5A, pixel columns 502, 504, 506, 508, 510, and 512 extend parallel to central axis 108. Accordingly, each of these pixel columns is selected in step 402 for further analysis.

Next, in step 404, each subset (e.g., column) of pixels identified in step 402 is separately analyzed by calculating an intensity gradient along the column. In certain embodiments, the gradient is calculated along the pixel column using an edge detection kernel (e.g., a four-pixel kernel of [1, 3, -3, -1]). In some embodiments, the gradient can be calculated by determining the intensity differences between each adjacent pixel in the column. The change in intensity ΔI between any two adjacent pixels 1 and 2 is calculated as $\Delta I = I(2) - I(1)$, where $I(1)$ and $I(2)$ are the measured intensities of pixels 1 and 2, respectively. This procedure is illustrated in FIG. 5B for pixel column 506, where the change in intensity is plotted along the upper (horizontal) axis against column position on the left-hand (vertical) axis. For example, the uppermost plotted point 514 along the vertical axis corresponds to the change in intensity between the first pixel 516 and the second pixel 518 in column 506. The vertical coordinate of point 514 corresponds to the dividing line between the pixels; the horizontal coordinate of point 514 corresponds to the calculated change in intensity between the pixels. As the intensity of second pixel 518 in column 506 is greater than the intensity of first pixel 516 (e.g., the second pixel appears brighter than the first), the change in intensity is positive. As is evident from FIG. 5B, the largest change in intensity in column 506 occurs between fourth pixel 520 and fifth pixel 522; this change in intensity is represented by point 524 in FIG. 5B.

Returning to FIG. 4, in step 406, the position of the maximum change in intensity is determined for each pixel column. For each pixel column, the process of determining the position of the maximum change in intensity is generally accomplished by fitting a functional form to the change in intensity values calculated between adjacent pixels of the column in step 404. This process is illustrated in FIG. 5B, where curve 526 has been fitted to some of the calculated change in intensity values. In general, a variety of different functional forms can be used to determine the position of the maximum change

14

in intensity. In FIG. 5B, a parabolic functional form was fitted to the maximum change in intensity between adjacent pixels (e.g., point 524) and to the calculated change in intensity values on either side of the maximum. As shown in FIG. 5B, the position of maximum change in intensity for pixel column 506 was then interpolated as the peak of the fitted parabolic curve, point 528. In the example of FIG. 5B, the position of the maximum change in intensity, point 528, did not correspond exactly with the positions of any of the divisions between adjacent pixels. Instead, the position of maximum intensity change fell within pixel 520.

This procedure is repeated for each of the pixel columns in FIG. 5A to determine a position of maximum intensity change for each column. The determined maximal positions are shown as lines 530, 532, 534, 536, 538, and 540 in FIG. 5A. As can be seen from comparing FIGS. 5A and 5B, the maximum position 534 in column 506 corresponds to the position of point 528 in FIG. 5B.

Returning to FIG. 4, after the positions of maximum intensity change for each pixel column have been determined in step 406, the apex position of the sample applicator is determined in step 408. In general, the apex position of the sample applicator corresponds approximately to the maximum change in intensity that is furthest from the dark edge among the pixel columns of image 500 in FIG. 5A (i.e., the top horizontal row of pixels shown in FIG. 5A). Referring to FIG. 5A, this dark edge of the sample applicator image corresponds to the body of the sample applicator, while the bright edge corresponds to the end of the applicator that is nearest to substrate 102. Accordingly, the apex position of sample applicator 100—the point on the direct image of the sample applicator that is nearest to the reflected images of the sample applicator—is identified approximately as the position of the maximum change in intensity, selected from among all of the pixel columns, that is nearest to the bright edge of the direct image. In FIG. 5A, the position of maximum change in intensity for pixel column 512, position 540, is closest to the bright edge of image 500 (i.e., the bottom horizontal row of pixels shown in FIG. 5A). Accordingly, the apex position of the sample applicator in the direct image of the applicator is approximately identified as point 540 in FIG. 5A.

In some embodiments, the apex position of the sample applicator can be further refined by fitting a functional form to the positions of maximum change in intensity for each pixel column. For example, a functional form (e.g., a parabolic functional form) can be fitted to positions 530, 532, 534, 536, 538, and 540, and the apex of the sample applicator can be determined as the vertex of the fitted parabolic form. In FIG. 5A, a parabola fitted to positions 530, 532, 534, 536, 538, and 540 will have a vertex that is approximately coincident with position 540. More generally, however, the fitted functional form may not be exactly coincident with any of the positions of maximum change in intensity for the pixel columns, due to imaging artifacts and irregularities in the shape of sample applicator 100, for example.

It has been experimentally determined that a parabolic functional form typically yields an accurate apex position for the sample applicator. As discussed above, the apex position of applicator 100 can be determined from the direct image as the maximal point of the fitted function (e.g., the apex of the parabola) closest to the substrate. Other functional forms can also be used to determine the apex position for the sample applicator. For example, in some embodiments, an elliptical functional form can be fitted to the applicator edge locations to determine the apex position of the sample applicator. Typi-

15

cally, an elliptical functional form matches the edges of the applicator closely because the edge profile of the applicator is elliptical in reflected images.

Having determined the apex position of the sample applicator in the direct image using at least one of the foregoing methods, the process shown in FIG. 4 terminates at step 410.

The next step in determining the position of sample applicator 100 relative to substrate 102 is to determine an apex position for the image of sample applicator 100 that corresponds to the reflection from upper surface 102a of substrate 102. FIG. 6 shows a flow chart 600 that includes a series of steps for determining an apex position of a reflected image of the sample applicator. The first step 602 in flow chart 600 is to identify the image of the sample applicator that corresponds to the reflection from upper surface 102a of substrate 102.

In some embodiments, the procedure disclosed previously in connection with FIG. 4 for locating the apex of the direct image of the sample applicator can be used to determine the apex position of a reflected image of the sample applicator. Alternatively, and particularly when the reflected image of the sample applicator is not as well focused as the direct image, other procedures can be used to determine the apex position. FIG. 7A shows a schematic representation of a typical image 700 captured by detector 106. A direct image region 702 of image 700 includes a direct image 704 of sample applicator 100. A first reflected image region 706 includes a first reflected image 708 of sample applicator 100. A second reflected image region 707 includes a second reflected image 710 (overlaid on a portion of the first reflected image) of sample applicator 100. First reflected image 708 corresponds to reflection from upper surface 102a of substrate 102, as explained above.

Returning to FIG. 6, the second step 604 in flow chart 600 is to identify rows of pixels that extend in a direction perpendicular to the central axis 108 of sample applicator 100 in the reflected image region. In FIG. 7A, the central axis 108 in the first and second reflected image regions extends in a direction parallel to the left- and right-hand edges of image 700; accordingly, suitable rows of pixels extend in a direction parallel to the top and bottom edges of image 700.

The identification of suitable rows of pixels to be used in finding the apex position of the reflected image of the sample applicator can also involve excluding certain rows from consideration. For example, the apex position of the direct image of the sample applicator, point 712, has already been determined using the procedure described in flow chart 400. Accordingly, with reference to image 700, pixel rows above point 712 need not be further considered, as the reflected image of the sample applicator will not appear in these rows.

In certain embodiments, pixel rows can also be foreshortened to speed up computational operations. For example, referring to FIG. 7A, the edge positions of the direct image of the sample applicator can be determined from the analysis of image 704. The edge positions of the reflected images 708 and 710 of the sample applicator can be assumed to fall in approximately the same locations as the edge positions of the direct image 704. Accordingly, pixel rows that are used to find the apex position of the reflected image of the sample applicator can be shortened by applying edge boundaries 714 and 716 in FIG. 7A. Further, edge boundaries can be selected to exclude other substrate features (e.g., painted logos, specimen frames, fiducial marks) from the first reflected image region. Edge boundaries 714 and 716 can be angled with respect to central axis 108 as shown in FIG. 7A, or can be parallel to central axis 108. The angle of the edge boundaries

16

with respect to central axis 108 can be 5° or more (e.g., 10° or more, 15° or more, 20° or more, 25° or more, 30° or more, 40° or more, 50° or more).

Returning again to FIG. 6, after suitable rows of pixels have been identified in step 604 (which can include foreshortening the identified rows), the contrast level for each row of pixels is determined in step 606. The contrast level can be determined in a variety of ways; the following discussion presents a particular series of steps for performing this calculation, but it is to be understood that other methods can also be used for measuring the variability in intensity for each row of pixels.

In certain embodiments, the first step in determining the contrast level for a particular row of pixels includes determining an average intensity for a subset of the brightest (e.g., highest intensity) pixels within a given row. The determination of an average intensity for a subset of pixels with the highest intensity values for a pixel row involves determining the average of the *n* largest intensities of pixels in the row. The value of *n* can be 1 or more (e.g., 2 or more, 3 or more, 4 or more, 5 or more, 6 or more, 8 or more, 10 or more, 15 or more, 20 or more, 30 or more), or *n* can be expressed as a fraction of the total number of pixels in the row (e.g., ¼ or less, ½ or less, ⅓ or less, ⅙ or less). Typically, the value of *n* is chosen so that the subset of highest intensity pixels does not include pixels that correspond to the reflected image 708 of the sample applicator.

The next step in determining the contrast level for the pixel row is to determine the average intensity for a subset of the darkest (e.g., lowest intensity) pixels within the row. The average intensity for the darkest pixels with a row can be calculated as the average of the *m* smallest intensities for pixels in the row. The value of *m* can be 1 or more (e.g., 2 or more, 3 or more, 4 or more, 5 or more, 6 or more, 8 or more, 10 or more, 15 or more, 20 or more, 30 or more), or *m* can be expressed as a fraction of the total number of pixels in the row (e.g., ¼ or less, ½ or less, ⅓ or less, ⅙ or less). Typically, the value of *m* is chosen so that the subset of lowest intensity pixels does not include pixels that correspond to the background portion of the image (i.e., that does not contain the reflected image 708 of the sample applicator).

Finally, the contrast level for the pixel row is calculated as the difference between the average intensity for the subset of highest intensity pixels for the row, and the average intensity for the subset of lowest intensity pixels for the row. The foregoing process can be repeated for each row of pixels identified in step 604, so that each pixel row has an associated contrast level.

Then, in step 608, the apex position of the reflected image of sample applicator 100 can be determined based on the change in contrast level values between each of the pixel rows. FIG. 7B shows contrast level (on the upper horizontal axis) plotted as a function of pixel row (on the left-hand vertical axis) for image 700 in FIG. 7A. Determination of the apex position of the reflected image of the sample applicator is based on the observation that in pixel rows that do not correspond to an image of the sample applicator, the contrast level will be relatively small, and in pixel rows that do correspond to an image of the sample applicator, the contrast level will be considerably larger. For example, in FIG. 7A, pixel row 718 does not correspond to first reflected image 708 of the sample applicator; as a result, the contrast level in row 718 is small, as indicated by point 722 in FIG. 7B. In contrast, pixel row 720 does correspond to first reflected image 708; accordingly, the contrast level in row 720 is larger than in row 718, as indicated by point 724 in FIG. 7B.

By plotting the contrast level as a function of pixel row in FIG. 7B, pixel rows that correspond to no reflected images, to

17

the first reflected image **708**, and to the combination of the first and second reflected images, **708** and **710**, can be identified based on the changes in contrast level between pixel rows. As shown in FIGS. **7A** and **7B**, the applicator tip in the first reflected image region of FIG. **7A** corresponds to the largest change in contrast level between pixel rows in first reflected image region **706**, indicated as point **726** in FIG. **7B**. With the apex position of the first reflected image **708** of the sample applicator determined in step **608**, the process in flow chart **600** then terminates at step **610**. A similar process can be repeated, as further described below, to determine the apex position of second reflected image **710** of the sample applicator, shown as point **728** in FIG. **7B**.

Determining Applicator Height

Having determined the apex positions of direct image **704** and of first reflected image **708** from the substrate's upper surface as described above, the height of sample applicator **100** relative to substrate **102** can be determined by calculating the separation h between the apex positions. FIG. **7C** shows a schematic representative image in which the apex position of the direct image, point **712**, and the apex position of the first reflected image, point **726**, have been determined. The separation μh between the images can be calculated in straightforward fashion (in image pixel units) from the difference between the apex positions. If desired, the separation μh can be converted to length units (e.g., microns) based on previously determined calibration information.

Calibration—General Considerations

The calibration information can be determined by correlating a known separation (in length units such as microns) between the sample applicator and the upper surface of a substrate based on a known position of the stage or applicator to a pixel separation between the applicator and the upper surface of the substrate in a reflected image region. Thereafter, the calibration information can be used to interpolate pixel separations and positions of the stage or applicator to find the desired position of the stage or applicator that provides the desired pixel separation, and the position of the stage or applicator can be offset based on the calibrated separation to achieve the desired separation between the applicator and the upper surface of the slide. Alternatively, control unit **160** can (a) correlate manipulator **150** or stage **152** positions to instances when detector **106** acquires an image of the sample applicator contacting a substrate, and (b) extrapolate the correlated manipulator or stage positions to a desired separation between the sample applicator and substrate.

Surface Touch Detection Calibration

A variety of methods can be used to calibrate the systems disclosed herein so that measurements of the separation μh between direct and reflected images of the sample applicator can be converted to measurements of the height h of the sample applicator above the surface of substrate **102**. One such method is discussed below, but it is to be understood that other methods can also be used for calibration.

In some embodiments, the actuators used to control the position of the sample applicator (e.g., actuators present in manipulator **150** and/or stage **152** and controlled by control unit **160**) can include non-screw driven linear actuators, such as linear crossed roller bearings or air-bearing linear actuators, such as those available from Dover (Westborough, Mass.), in which motor, bearing, and encoder are not in direct contact. In addition to permitting movement along an axis when a suitable control signal is delivered, such actuators can also permit generation and measurement of force along the direction of motion. When such actuators are used in the systems disclosed herein, obtaining calibration information typically occurs according to a two-step procedure separated

18

into a first "Digital to Analog Converters ("DAC") calibration" step and a second "applicator displacement" step.

In the DAC calibration step, with the sample applicator not in contact with the surface of the slide, the servo loop that typically drives the actuator is terminated and the actuator's amplifier is immediately re-enabled with a fixed DAC offset control signal. This DAC offset signal is delivered to the actuator by manipulator **150** from control unit **160** to initiate motion of the actuator in an "upward" direction (e.g., parallel to central axis **108** of the applicator and away from the surface of substrate **102**). As a result, the actuator and sample applicator are together driven upwards by the fixed DAC offset control signal. After allowing the fixed DAC offset control signal to move the actuator and sample applicator for a set period of time, the actuator position is measured. The calibration routine begins again with a smaller DAC offset control signal, and continues to repeat until movement of the actuator, during the set time period, passes a predetermined threshold condition in the downward direction. The DAC offset control signal value that satisfies this threshold is stored. The value of the stored DAC offset control signal, when applied without an active servo loop, produces sufficient upward force such that the actuator will just barely be overcome by the force of gravity.

Once the DAC offset control signal has been calibrated, the actuator moves the sample applicator to a position that is known to be above the surface of the substrate. A fatal following error threshold is established (e.g., entry by a system operator, retrieval from a storage medium, or hard-coded into software or hardware) such that when the servo loop following error exceeds the fatal following error, the servo loop is terminated. The actuator and sample applicator are slowly displaced towards the surface of the substrate, under control of the servo loop, while the servo loop following error is measured by control unit **160**. When the sample applicator makes physical contact with the surface of the substrate and continues to drive downward, the servo loop following error builds up and quickly exceeds the fatal following error; the servo loop is then terminated. Control unit **160** then re-enables the actuator's amplifier and activates the calibrated DAC offset control signal.

The result of this second stage of calibration is that the sample applicator comes to rest nearly weightlessly on the surface of the substrate. After waiting for a period of time for actuator to settle into position, control unit **160** reads the position of the actuator and optionally converts the position into position units (e.g., microns). This value is stored as the position of the surface of the substrate (e.g., the $h=0$ position). The sample applicator can then be translated to a position above the surface of the substrate, and the position (e.g., measured by reading from the actuator's encoder) can be correlated with a pixel separation as disclosed above.

Such measurements can be repeated as many times as desired to produce accurate calibration information. It has been found that calibration information produced in this manner is repeatable to better than 500 nm, and contact between the sample applicator and the substrate introduces no more than 1 micron of deflection to the substrate. Additional features and aspects of using air bearing actuators for calibration are disclosed, for example, in a document entitled "Force Generation and Measurement," by Kevin McCarthy, published in Drives & Controls (March 2002), and available from Dover Motion on the Internet at <http://www.dovermotion.com/WhitePapersPage.aspx>, the entire contents of which are incorporated herein by reference. Further, while servo motors can be used with manipulator **150** or stage **152**

to implement the surface touch detection calibration technique, other types of motors (e.g., stepper motors) can be used to accomplish the technique.

By way of example, the foregoing surface touch detection calibration procedure can be performed at initialization of an automated sample preparation system, at predetermined times during normal operation or maintenance of such system, or upon receipt of a system error message relating to the quality of a prepared sample on a substrate (e.g., a low magnification or high magnification imaging station cannot accurately identify or count one or more constituents within a sample).

Determining Substrate Thickness

The methods and systems disclosed herein can also be used to determine the thickness of the substrate, e.g., a microscope slide, by comparing apex positions of the images reflected from the upper and lower surfaces of a transparent substrate.

The process disclosed above in flow chart 600 can also be used to determine an apex position of the image of the sample applicator that is reflected from lower surface 102b of substrate 102 (i.e., the second reflected image 710 in the second reflected image region 707). As shown in FIG. 7B, for example, just as there is a significant difference between the contrast level for pixel rows that correspond to no reflected image and pixel rows that correspond to first reflected image 708, there is typically also a significant difference between the contrast level for pixel rows that correspond to the overlap of first reflected image 708 and second reflected image 710, and pixel rows that correspond only to first reflected image 708. The apex position for second reflected image 710 is indicated as point 728 in FIGS. 7B and 7C.

With reference to FIG. 7C, the separation between apex positions 726 and 728, μ h can then be calculated to provide an indication of the thickness of substrate 102. As disclosed above in connection with the separation μ h, the value of μ t—determined in pixel units from the image in FIG. 7C—can be converted to linear units using suitable calibration information, which can be obtained in a manner similar to the procedure described above, or in a variety of other ways, including performing a series of calibration runs with substrates of known thickness to assemble a calibration table of measured values of μ t and the corresponding known thicknesses t. During subsequent operation of the systems disclosed herein, a thickness t corresponding to a measured value of μ t can be interpolated from the values in the calibration table.

Selecting an Appropriate Applicator Height to Dispense a Desired Sample Distribution

In the foregoing description, sample applicator 100 is positioned at a fixed height h above the upper surface 102a of substrate 102 while the separation μ h is determined from an image such as that shown in FIG. 7C. Sample applicator 100 can be mechanically connected to a manipulator that controls translation of sample manipulator 100 in three coordinate dimensions. In the embodiment shown in FIG. 1A, for example, sample applicator 100 is connected to manipulator 150. Associated with manipulator 150 is a coordinate system that corresponds to axes along which sample applicator 100 can be translated. For example, sample applicator 100 can be translated along a z-coordinate direction of manipulator 150, which extends parallel to central axis 108 of sample applicator 100—to vary the height h of the sample applicator above upper surface 102a of substrate 102. Further, sample applicator 100 can be translated along x- and y-coordinate directions, each of which is perpendicular to the z-coordinate direction. The x- and y-coordinate directions are each parallel to a plane oriented perpendicular to central axis 108. When

the tilt angle θ of substrate 102 is zero, the x- and y-coordinate directions are also parallel to the plane formed by substrate 102; translating sample applicator 100 in either or both of the x- and y-coordinate directions therefore positions the sample applicator at a new location relative to substrate 102, but does not change the height h of sample applicator 100 relative to substrate 102.

Alternatively, in some embodiments, stage 152 can be used to translate substrate 102 in the x-, y, and z-coordinate directions relative to sample applicator 102. Further, in certain embodiments, both stage 152 and manipulator 150 can be used to translate sample applicator 100 relative to substrate 102, with motion in the in x-, y, and z-coordinate directions apportioned between stage 152 and manipulator 150. In the following disclosure, adjustment of the sample applicator's height via manipulator 150 is discussed; however, it should be understood that the methods disclosed can also be implemented via adjustment of the height of sample applicator 100 using stage 152 alone, or by using stage 152 in combination with manipulator 150.

In the methods disclosed above for determining the separation μ h, sample applicator 100 remains at both a fixed height and a fixed location relative to substrate 102. However, as discussed above, careful experimentation has determined suitable heights for dispensing certain fluids onto certain substrates. In particular, it has been determined that for dispensing blood onto microscope slides to form a film that is approximately one-cell thick, sample applicator 100 should be maintained at a height of about 12 microns above the surface of the slide. This height value was determined for a specific rate of sample dispensing (e.g., translation speed of sample applicator 100 relative to substrate 102) and for a specific sample viscosity. More generally, sample applicator 100 should be maintained at a height of between 8 microns and 20 microns above the surface of the slide (e.g., at a height of about 8 microns, about 10 microns, about 12 microns, about 14 microns) when dispensing blood onto microscope slides.

In general, the following factors influence the ability to dispense a sample uniformly on a substrate at an even distribution, and therefore have an effect on the appropriate height of the sample applicator above the surface of the substrate:

(1) the flow rate of the sample dispensed from the applicator should equal the desired volume of sample to be dispensed during the sample dispensing period;

(2) as the sample applicator translation speed relative to the substrate increases, the applicator height above the substrate should decrease proportionally (although the proportionality relationship may not be linear, and the applicator height should not decrease below a minimum height below which damage occurs to cells);

(3) as the sample viscosity increases relative to the surface tension of the sample dispensed onto the substrate, the applicator height above the surface of the substrate should decrease to prevent disruptions or “tears” in the sample flow as it is deposited on the substrate;

(4) as the substrate wettability increases, the sample applicator height above the substrate should decrease to maintain under the applicator a constant volume of sample being dispensed onto the substrate;

(5) as the sample applicator inner diameter increases, the applicator height above the substrate should decrease (although not below the minimum height below which damage occurs to cells) to maintain under the applicator a constant volume of sample being dispensed onto the substrate; and

(6) the ratio of the inside diameter of the sample applicator to the outside diameter of the sample applicator should be

21

selected to ensure that shearing stresses applied to cells within the applicator and between the applicator and substrate (e.g., within a pendant drop on the applicator and touching the substrate during sample deposition) do not distort the morphology of the cells (as this ratio increases, less shear is applied to cells during dispensing of the sample onto the substrate surface).

In some embodiments, to ensure that sample applicator **100** is positioned at a suitable height above substrate **102** when fluid **104** is later dispensed onto the substrate, sample applicator **100** is maintained at a specific location relative to substrate **102** (e.g., at a particular x- and y-coordinate position in the coordinate system of the manipulator), and the height of sample applicator **100** above substrate **102** is varied (e.g., sample applicator **100** is translated along the z-coordinate direction in the coordinate system of manipulator **150**). At each new height setting of the manipulator, the steps disclosed above in flow charts **400** and **600** are repeated to determine the separation μh .

Then, using calibration information that relates the measured image separation μh to the actual height h of sample applicator **100** above substrate **102** and/or to particular control settings of manipulator **150**, a suitable control setting for the manipulator is selected to yield a sample applicator height that corresponds to the desired target applicator height at a particular location of sample applicator **100** relative to substrate **102**.

This procedure is illustrated with reference to FIG. **8**, which shows a calibration curve **800** that relates control settings V_i of manipulator **150** (or stage **152**) to a series of measured image separations μh . To generate the points shown in FIG. **8**, sample applicator **100** was positioned at a series of six different heights above upper surface **102a** of substrate **102** by applying six different control settings to manipulator **150** (or stage **152**) using control unit **160**. The location of sample applicator **100** in the x-y plane relative to substrate **102** was the same for each different height (along the z axis). At each different height, an image was obtained by detector **106** showing both a direct image of sample applicator **100** and a reflected image of sample applicator **100**. The separation between the direct and reflected images, μh , was determined. The six measured image separations, μh_1 - μh_6 , are plotted on the vertical axis of FIG. **8** as a function of the six different control settings V_1 - V_6 applied to manipulator **150** (or stage **152**) to position sample applicator **100** at the six different heights.

It is known from previously determined calibration information that target separation μh_t corresponds to the desired target height h_t of sample applicator **100** above substrate **102**. For example, for dispensing a blood sample onto a microscope slide, previous calibration steps have determined that a target sample applicator height h_t above the surface of substrate **102** (e.g., 12 microns) corresponds to a particular z coordinate position of manipulator **150** (or stage **152**). As shown in FIG. **8**, target separation μh_t falls in between measured separations μh_3 and μh_4 , which correspond to manipulator (or stage) control settings V_3 and V_4 , respectively.

To determine the manipulator (or stage) control setting that yields the target sample applicator height h_t (e.g., 12 microns), interpolation between the measured points ($V_i, \mu h_i$) can be used. In particular, interpolation between points ($V_3, \mu h_3$) and ($V_4, \mu h_4$) can be used to determine the control setting V_t that yields the target separation μh_t . Because the target separation μh_t corresponds to the target applicator height h_t , control setting V_t applied to manipulator **150** (or stage **152**) yields the desired target sample applicator height h_t .

22

Although a total of six different manipulator (or stage) settings were used in FIG. **8** to determine target control setting V_t , more generally, any number of manipulator (or stage) height settings can be used. For example, the number of sample applicator height settings (which is the same as the number of manipulator or stage control settings) can be 2 or more (e.g., 3 or more, 4 or more, 6 or more, 8 or more, 10 or more, 15 or more, 20 or more).

For a particular location of sample applicator **100** relative to substrate **102**, the process disclosed herein yields a control setting V_t of the manipulator (or stage) that will ensure that sample applicator **100** is positioned at a desired height above upper surface **102a** of substrate **102** at that location. As shown in FIG. **9**, the process disclosed above can be repeated for multiple different locations **902**, **904**, **906**, and **908** of sample applicator **100** relative to substrate **102**. At each location, the height of sample applicator above substrate **102** can be varied by translating manipulator **150** (or stage **152**) along the z-coordinate axis of the manipulator by applying different control settings to the manipulator (or stage). The measured image separations μh at the different heights can be used to determine a target control setting V_t of the manipulator (or stage) that will ensure that sample applicator **100** is positioned at a desired height above substrate **102** at that location.

Suitable control settings can be determined at any number of locations of sample applicator **100** relative to substrate **102**. In FIG. **9**, suitable control settings are determined at four different locations. More generally, however, the number of locations can be one or more (e.g., two or more, three or more, four or more, six or more, eight or more, ten or more, 15 or more, 20 or more, or 30 or more).

After suitable control settings have been determined at a fixed number of locations, suitable control settings can be estimated at other locations by using, e.g., interpolation techniques. For example, referring to FIG. **9**, suitable control settings V_t are determined at locations **902** and **904**. A suitable control setting V_t that yields a sample applicator height of, e.g., 10 microns, above substrate **102** can be estimated at location **903** by interpolating between the control settings determined at locations **902** and **904**.

The thickness of substrate **102** can also be measured at any of locations **902**, **904**, **906**, and **908** using the steps disclosed above in connection with flow chart **600**. In particular, the separation μt between the two reflected images of sample applicator **100** need only be determined once at each location; this separation does not change as sample applicator **100** is translated along the z-coordinate axis of manipulator **150** (or stage **152**). Accordingly, μt can be determined at a single height. The image separation μt can be converted to yield a thickness t measured in linear units (e.g., microns) using calibration information if desired.

With suitable control settings for the manipulator (or stage) determined at specific locations of sample applicator **100** relative to substrate **102**, manipulator **150** (or stage **152**) can be positioned such that sample applicator **100** is positioned at any location relative to substrate **102**, and the height of the sample applicator above the substrate's upper surface can be maintained at a desired value. Thus, fluid **104** can be dispensed from nearly a constant height above substrate **102**, ensuring that rows of the dispensed fluid are as nearly uniform as possible. In this manner, the samples produced are of greater uniformity than those produced using, e.g., manual methods.

Determining Substrate Orientation

In some embodiments, the orientation of substrate **102** can be determined. With reference to FIG. **1B**, determining the orientation of substrate **102** can include determining the tilt

angle θ between the plane defined by substrate **102** and a plane orthogonal to central axis **108** of sample applicator **100**. Determining the orientation of substrate **102** can also include determining parameters associated with an equation $f(x,y)$ that describes upper surface **102a** of substrate **102** as a function of position in the (x,y) coordinate plane of manipulator **150** (or stage **152**). In general, the orientation of a plane can be determined when three points on the plane are known. Accordingly, when the number of stored control settings (which typically corresponds to the number of distinct locations of sample applicator **100** relative to substrate **102** at which control settings are determined) is three or more, the equation of the plane describing surface **102a** can be determined directly from the stored control settings. In some embodiments, the stored control settings are first converted to displacement values expressed in linear units (e.g., microns) using calibration information, and then the displacement values are used to determine the coefficients of the equation of the plane of surface **102a**. It has been observed that as a result of the slope of the plane of substrate **102** supported by stage **152**, the vertical coordinate of surface **102a** of the substrate (in the coordinate system of manipulator **150** or stage **152**) can vary by as much as 30 microns between (x,y) locations on opposite ends of the substrate. The equation of the plane that describes surface **102a** can also be used, for example, to determine the tilt angle of surface **102a**. Additionally, with four or more stored control settings, it is possible to track non-planar surface substrates such as a slightly curved substrate by fitting a more complex equation $f(x,y)$ describing a curved surface to the values of the control settings. Methods for determining an equation of a plane describing or relative to a surface are found in co-pending U.S. patent application Ser. No. 13/019,118, filed on Feb. 1, 2011, the entire contents of which are incorporated herein by reference.

Fluid Dispensing—Other Considerations

To create uniform, high quality layers of a sample that has been dispensed onto a substrate surface, an important consideration is generally the height of the sample applicator above the surface of the substrate. Choosing a suitable height (e.g., 12 microns) and maintaining the height as constant as possible as rows of fluid are dispensed onto the substrate is typically a significant factor in ensuring that uniform and repeatable samples are obtained. The methods and systems disclosed herein are designed to ensure that the sample applicator height can be accurately determined and kept as constant as possible during dispensing.

More generally, however, a variety of interrelated factors play a role in determining the uniformity and quality of samples obtained from fluid dispensing. The influence that these factors exert is related to various physical phenomena that occur during deposition of fluid containing cells onto a substrate.

One such physical phenomenon that occurs during fluid deposition is vortex formation within the tip of applicator **100**. FIG. 11 shows a schematic diagram of sample applicator **100** dispensing fluid **104** onto the surface of substrate **102**. Fluid **104** generally flows downward in the direction of arrow **2002** through applicator **100** and onto substrate **102**. It has been discovered, however, that during fluid dispensing and within applicator **100**, fluid recirculates in a direction indicated by arrow **2004**, forming a fluid vortex within applicator **100**. Vortex formation typically occurs due to various frictional forces that are applied to fluid **104** as it flows through applicator **100** and contacts the surface of substrate **102**.

The formation of the vortex presents a number of obstacles to uniform fluid deposition onto substrate **102**. For example, rows of fluid dispensed through applicator **100** can be non-

uniform, as the distribution of fluid within the row is impacted by recirculation within the vortex. Further, certain types of cells such as platelets can be trapped within the vortex, leading to non-uniform deposition of these cells onto substrate **102**. In extreme cases, different types of cells can be trapped by varying degrees within the vortex, so that the distribution of cells in the dispensed fluid on the surface of substrate **102** may no longer reflect the distribution within the bulk fluid.

Further, cells such as platelets can also be activated by recirculation within the vortex; such activation can lead to platelet clotting, which prevents uniform dispersion of the platelets on the surface of substrate **102**. Activated platelets also typically stain differently and present a lower contrast in sample images compared to un-activated platelets, making them more difficult to locate or distinguish from other sample constituents in the images.

Another phenomenon that can affect the quality of the prepared samples is shearing stress that occurs during fluid dispensing. When sample applicator **100** moves relative to substrate **102** along the direction indicated by arrow **2006** in FIG. 11, shearing stress is applied to the fluid underneath the leading edge **2008** and trailing edge **2010** of applicator **100**. In general, shearing forces are larger under leading edge **2008** compared to shearing forces under trailing edge **2010**. The longer the duration of fluid dispensing, the more cells in the fluid are affected by the shearing forces. In general, cells subjected to shearing forces are distorted, and the morphology of such cells can be permanently altered. If the shearing forces are sufficiently strong, the cells can be ruptured. Permanent changes to cellular morphology can impact the extent to which useful diagnostic information and quantitative metrics can be extracted from cell images. The shearing forces can also activate certain types of cells such as platelets; as discussed above activated platelets stain differently and present a lower contrast in sample images as compared to un-activated platelets, making them more difficult to locate in sample images.

A further phenomenon that can affect the quality of the prepared samples is the extent to which the cells aggregate in the sample applicator prior to dispensing onto substrate **102**. Red blood cells have a weak natural affinity for one another, and tend to aggregate to a higher extent in a fluid richer in red blood cells than in a fluid where they are more dilute. As a result, clustering and stacking of red blood cells tends to occur to a greater extent in the first few rows of fluid that are dispensed onto substrate **102** than in later rows, because the later rows are dispensed from fluid with a smaller number of cells. As a result, red blood cell stacking may occur with greater frequency in one portion of the prepared sample than in others, making it more difficult to obtain reliable quantitative analysis results.

Yet another phenomenon that can affect the quality of the prepared samples is the extent to which dispensed rows of fluid undergo drying before an adjacent row is dispensed. Red blood cells naturally have a depressed central pallor that is readily visible in cell images. If the red blood cells dry at a suitable rate on the substrate, this morphology is preserved for analysis. However, if the red blood cells dry too slowly, they can distort from their natural shape such that the depressed central pallor is lost. The distorted cells can adopt a variety of different shapes, including forming so-called “target cells.” Target cells are red blood cells for which the cell shape has changed, and in particular, a portion of the central pallor has changed shape, so that when imaged, concentric regions of differing thickness are observed, giving the cells the appearance of a “target.” These shapes do not reflect the true shape of red blood cells in unaltered samples. As

such, the formation of target cells makes it difficult to extract reliable quantitative information from a sample. Moreover, certain disease conditions naturally lead to the formation of target cells, and observation of such cells can be an important diagnostic marker for those conditions, but only if the formation of target cells is not a by-product of sample preparation.

The drying rate of deposited fluid is influenced by factors such as relative humidity, temperature, and the composition of the fluid. Some of these factors can be controlled during fluid deposition. Moreover, other factors—such as the rate of fluid deposition and overlap between successive rows—can be controlled to regulate the extent to which a deposited row of fluid dries before a successive row is applied to the surface of the substrate. An important aspect of fluid deposition is the maintenance of a “wet edge” during application of successive rows. That is, if a first deposited row is allowed to dry for too long before a successive row is applied, the rows of fluid will not mix properly to form a homogeneous distribution of cells on the surface of the substrate. Instead, the edges of the deposited rows can appear darker than the central portions due to accumulation of cells in these regions. Further, cells near the edges of the rows can undergo hemolysis and/or lose their natural shape (e.g., the depressed central pallor), so that the shape of the dried cells is no longer representative of the cells in their unaltered state.

The influence of the foregoing factors can be mitigated by controlling various parameters associated with the fluid dispensing process and features of the sample applicator in combination with maintaining a constant applicator height. As discussed previously, an important parameter is the height of the sample applicator above the substrate surface, which can be accurately determined and maintained using the methods and systems disclosed herein.

For example, the methods and systems permit the sample applicator to be maintained at a height of about 12 microns (e.g., between 9 and 15 microns, between 10 and 13 microns, between 11 and 14 microns, between 12 and 15 microns) above the substrate surface during fluid dispensing.

Moreover, the methods and systems permit the sample applicator's height to be maintained within a relatively narrow range; that is, the methods and systems are sufficiently accurate so that only relatively small deviations occur in the applicator's height as the applicator is translated relative to the substrate. Typically, during fluid deposition, a target applicator height is set or determined (e.g., a target applicator height of 12 microns) and during fluid deposition, the applicator is maintained within a range of heights centered about the target height. In some embodiments, for example, the applicator is maintained within 2 microns (e.g., within 1.7 microns, within 1.5 microns, within 1.3 microns, within 1.0 micron, within 0.8 micron, within 0.6 micron, within 0.4 micron, within 0.2 micron) of the applicator's target height relative to the surface of the substrate.

Other features and parameters that can be controlled or adjusted to affect the quality of the prepared samples are discussed below.

The choice of a combination of parameters for fluid dispensing reflects the balancing of a variety of aspects of system operation to yield high quality samples in relatively short processing times. Because a variety of phenomena and factors influence the overall quality of the samples, determining a particular combination of parameters involves more than merely optimizing these parameters one at a time. To the contrary, combinations of different parameter ranges are determined and then used for fluid deposition to achieve samples of sufficiently high quality. The selected combinations of parameters take into account the fact that the depo-

sition process involves more than merely applying a fluid to the substrate surface. The fluid that is applied acts as a carrier of blood cells, and the combination of parameters that is used should be sufficient to yield a sample in which the distribution of cells is uniform, and the cells retain—as much as possible—their original shapes. As such, the determination of parameter combinations is a more complex process than the process that would be required to merely optimize the deposition of a fluid that does not contain cells.

(i) Shape of Applicator Wall

In some embodiments, the cross-sectional shape of the applicator wall can be modified to help control the amount of shearing force applied to the cells in the fluid during dispensing. For example, in some embodiments, the applicator wall can be beveled to reduce the distance (e.g., the wall thickness) over which shear forces are applied to the fluid. Although beveling the wall reduces the amount of time during which the cells are subjected to shearing forces during dispensing, the beveled wall increases the magnitude of the applied shearing force. Thus, the shape of the bevel can be selected to ensure that both the time and magnitude of the applied shearing force are insufficient to permanently damage red blood cells in the fluid.

In general, the wall thickness of the applicator is selected to reduce shearing forces applied to the cells (e.g., thinner wall thicknesses reduce shearing forces), and to provide structural stability to the applicator (e.g., thicker wall thicknesses enhance stability). In some embodiments, for example, the wall thickness of the applicator can be between 30 microns and 300 microns (e.g., between 40 microns and 200 microns, between 50 microns and 175 microns, between 60 microns and 150 microns, between 70 microns and 125 microns).

(ii) Sample Applicator Dimensions and Materials

Roughness on the interior surface of sample applicator **100** can damage cells present in the fluid that is dispensed onto substrate **102**. Various methods can be used to mitigate the effects of surface roughness. In some embodiments, if the inside diameter of applicator **100** is sufficiently large, a slurry that includes, for example, silicon beads, can be pumped through applicator **100** to smooth any surface imperfections.

Typically, sample applicator **100** is formed from an extruded material, such as metal or plastic. In certain embodiments, a smooth inner surface can be obtained by fabricating applicator **100** from a material such as glass which extrudes to form a very smooth interior surface. In some embodiments, applicator **100** can include a shaft formed of an extruded metal material, and a concentric tip formed of a material such as glass with a smooth extruded interior surface. Such an applicator has most of the advantages that arise from using a metal applicator (e.g., inexpensive fabrication), but at the same time enjoys the benefit of a smooth interior surface provided by the glass tip.

The inside diameter of the sample applicator can also be selected to mitigate some of the phenomena described above. For example, by using a sample applicator with an inside diameter of between 200 microns and 650 microns inclusive (e.g., between 200 microns and 300 microns, between 200 microns and 400 microns, between 300 microns and 400 microns, between 400 microns and 650 microns, between 500 microns and 650 microns), pinching of cells within the applicator as the fluid is dispensed can be reduced. Pinching can lead to permanent distortion of the morphology of the cells.

In certain embodiments, the ratio of the outside diameter (“OD”) to inside diameter (“ID”) of the sample applicator is controlled to reduce shearing forces during fluid dispensing. In general, the smaller the ratio of OD to ID, the smaller the cross-sectional area of the applicator, and therefore the

smaller the magnitude of the shearing forces applied to the cells. For example, the OD/ID ratio can have a magnitude of 1.5 or less (e.g., 1.4 or less, 1.3 or less, 1.2 or less, 1.1 or less) to maintain shearing forces within acceptable limits.

In some embodiments, to reduce shearing forces during fluid dispensing, sample applicator **100** can include a coating on its outside and/or inside surface. Suitable coatings can be formed of materials such as Teflon®, ceramics, and metals.

In certain embodiments, the shape of sample applicator **100** can be selected to reduce vortex formation and/or shearing stresses when the applicator is translated relative to the surface of substrate **102**. In general, an applicator with a smaller inner diameter can reduce the size of the vortex, thereby reducing the shear force applied to the sample as it is dispensed onto the substrate. FIG. **12A** is a cross-sectional view of a sample applicator **100** with a shouldered or stepped profile at the applicator tip. Fluid is dispensed through the narrow circular opening **2050** adjacent to the shoulder. Through careful experimentation, it has been discovered that embodiments of applicator **100** such as the example shown in FIG. **12A** have improved the quality of prepared samples compared to samples prepared with applicators of the same inner and outer diameter without a shouldered or stepped applicator tip.

FIG. **12B** is a schematic diagram showing an end view of a sample applicator **100** with an oval opening **2100** through which fluid is dispensed onto a substrate. The minor dimension *d* of the oval opening is oriented parallel to the direction of translation. In some embodiments, the minor dimension *d* can be at least 200 microns (e.g., at least 250 microns, at least 300 microns, at least 400 microns, at least 500 microns), for example.

(iii) Sample Applicator Volume

In some embodiments, a syringe pump is used to initiate and maintain the flow of fluid through sample applicator **100** and onto substrate **102**. In general, a variety of different types of syringe pumps can be used. For example, one or more pumps based on stepper motors can be used to regulate fluid flow. However, when an a stepper motor-based pump with a particular volume capacity is used to dispense a volume of fluid that is significantly smaller than the pump's capacity, even relatively small inhomogeneities in the displacement of a driving piston within the pump can result in non-uniform volumes of fluid being dispensed onto substrate **102**. To mitigate such effects, pumps with smaller volume capacity can be used to dispense fluids. For example, to dispense between 0.8 μL and 1.2 μL of fluid onto a substrate, a 12.5 μL pump typically yields samples of higher quality than a 25 μL pump.

(iv) Sample Applicator Translation Speed

As discussed above, red blood cells tend to aggregate when present in large numbers, leading to stacking which can be more prevalent in the first few rows of dispensed fluid than in later-dispensed rows, leading to an inhomogeneous distribution of red blood cells on the surface of the substrate. To mitigate cell stacking, the rate at which the sample applicator is translated relative to the surface of the substrate can be increased. In general, the sample applicator can be translated relative to the surface of the substrate using a variety of techniques. For example, in some embodiments, stage **152**—which supports the substrate—is translated, while the sample applicator remains essentially fixed in position. In certain embodiments, manipulator **150** translates the applicator while the substrate mounted on stage **152** remains essentially fixed in position. In some embodiments, both the applicator and the substrate are translated by manipulator **150** and stage **152**, respectively. Each of the foregoing techniques results in translation of the sample applicator relative to the surface of

the substrate. Further it should be understood that unless specifically disclosed otherwise herein, references to “translating” the applicator imply a relative translation of the applicator with respect to the substrate, and can be implemented by translating the applicator while the substrate remains essentially fixed in position, by translating the substrate while the applicator remains essentially fixed in position, and by translating both the applicator and the substrate.

In some embodiments, the relative translation speed between applicator **100** and substrate **102** can be between 40 mm/s and 90 mm/s (e.g., between 50 mm/s and 80 mm/s, between 60 mm/s and 70 mm/s) to significantly reduce or eliminate red blood cell stacking in the prepared samples.

(v) Fluid Dispensing Rate

As discussed above, target cells form when red blood cells dry too slowly after being dispensed onto the surface of substrate **102**. To reduce or eliminate target cell formation and loss of the shape of the central pallor of red blood cells, the rate of cell drying can be controlled by adjusting the fluid dispensing rate through applicator **100**. Adjusting the dispensing rate to a suitably high value also helps to prevent cell stacking. In some embodiments, for example, the fluid dispensing rate through applicator **100** is 0.020 $\mu\text{L/s}$ or more (e.g., 0.030 $\mu\text{L/s}$ or more, 0.035 $\mu\text{L/s}$ or more, 0.040 $\mu\text{L/s}$ or more, 0.050 $\mu\text{L/s}$ or more, 0.060 $\mu\text{L/s}$ or more, 0.070 $\mu\text{L/s}$ or more, 0.075 $\mu\text{L/s}$ or more, 0.080 $\mu\text{L/s}$ or more, 0.090 $\mu\text{L/s}$ or more, 0.100 $\mu\text{L/s}$ or more) to reduce target cell formation and cell stacking in the prepared samples. In certain embodiments, ranges of fluid dispensing rates that fall within the ranges disclosed above can be used. For example, the fluid dispensing rate through applicator **100** can be between 0.035 $\mu\text{L/s}$ and 0.075 $\mu\text{L/s}$.

More generally, the fluid dispensing rate and relative translation speed of the applicator are matched to one another to yield samples of sufficient quality. It has been discovered that if the applicator is translated relative to the substrate surface at a rate that is too high relative to the fluid dispensing rate, then fluid is “pulled” from the applicator, resulting in a non-uniform distribution of cells on the substrate surface, and loss of the cells' natural shape (e.g., loss of pallor). Conversely, if the applicator is translated at a rate that is too low relative to the fluid dispensing rate, then fluid pools near the applicator tip, which also results in a non-uniform cell distribution. Accordingly, the applicator translation speed and fluid dispensing rate are selected in combination to ensure that cells are uniformly deposited, that they retain their natural shape, and that the overall deposition process occurs within a suitably short processing time.

(vi) Adjacent Row Separation

Adjusting the row separation (e.g., by controlling the displacement of sample applicator **100** between dispensing of fluid in adjacent rows) can be used to compensate for several phenomena that affect the quality of prepared samples. In general, as the amount by which adjacent rows overlap increases, the uniformity of the layer dispensed onto substrate **102** increases. However, when the amount of overlap increases, deposited cells take longer to dry on substrate **102**, which can lead to shape distortion (e.g., loss of central pallor and/or target cell formation). In general, row separations of between 0.20 mm and 0.60 mm (e.g., between 0.25 mm and 0.55 mm, 0.30 mm and 0.40 mm) can be used to compensate for such factors.

Fluids differ in their viscosities, and even different samples of a particular type of fluid such as blood can have different viscosities. Adjusting the row separation can compensate for such variations between samples. In general, as the viscosity of a sample increases, the adjacent row separation is

increased (e.g., the extent of overlap between adjacent rows is reduced) to avoid forming overlapped regions with cell concentrations that are significantly larger than in non-overlapped regions; as more viscous fluids do not flow as freely as less viscous solutions, inhomogeneous distributions of cells on substrate **102** do not disperse as readily as in less viscous solutions). It has been observed that for blood samples in general, a row separation of about 0.4 mm yields rows that flow toward each other and just touch, yielding a highly uniform sample.

It has also been observed that the viscosity of the dispensed fluid can change during deposition onto a single substrate, so that the viscosity of the first few rows differs from the viscosity of the final few rows that are dispensed. For example, if the final rows dispensed have a lower viscosity, they will flow together and overlap to a greater extent than the first few rows. To compensate for this variation, the separation between adjacent rows can be adjusted during the dispensing of fluid onto a single substrate. For example, the separation between adjacent rows can be increased during dispensing of the fluid so that despite the differences in viscosity, the amount of overlap between adjacent rows remains approximately the same. The separation can be adjusted in linear fashion between successive rows. Alternatively, the separation can be adjusted in non-linear fashion (e.g., according to an exponential function).

In some embodiments, to control the separation between adjacent rows of fluid, sample applicator **100** can include multiple fluid flow channels. FIG. **13** is a cross-sectional view of a sample applicator **100** that includes four fluid flow channels **2202**, **2204**, **2206**, and **2208**. Fluid flows through each of the channels and onto the surface of substrate **102**. Although four channels are shown in FIG. **13**, more generally, sample applicator **100** can include any number of channels (e.g., two or more, three or more, four or more, five or more, ten or more, 20 or more, 30 or more, 50 or more). In certain embodiments, sample applicator **100** can include a sufficient number of channels so that fluid sufficient to cover an entire substrate can be deposited in a single pass. By depositing fluid onto the substrate in a single pass, some of the factors discussed above (such as target cell formation and cell stacking) can be largely mitigated.

(vii) Row Length

The length of the deposited rows can also be adjusted to ensure that cells are deposited onto the surface of the substrate in a homogeneous manner. In particular, because rows of fluid are deposited in a sequential overlapping pattern, by adjusting the row length, temporal delay between the dispensing of successive rows can be controlled. As explained above, the delay between successive rows influences the extent to which a particular row dries before an overlapping row is deposited.

Maintaining a “wet edge” during dispensing of rows of fluid onto the substrate can be an important aspect of the fluid deposition process to ensure that samples are uniform. The “wet edge” refers to the edge of the previously dispensed row that is nearest to the row of fluid currently being dispensed onto the substrate surface. Maintaining a “wet” edge refers to adjusting properties of the system so that the edge of the previously dispensed row that is nearest to the current row does not completely dry before the current row is deposited, and therefore flows together with the row that is being currently dispensed so that the rows contact one another. By ensuring that the previous row is not completely dry, fluid from the previous row and from the current row can flow together when the current row is deposited, leading to a more uniform distribution of cells on the substrate surface when the sample is subsequently dried.

More generally, maintaining a “wet edge” refers to adjusting properties of the fluid deposition system to ensure that each row of fluid dries for only a certain length of time before a subsequent row of fluid is dispensed, to ensure that successively dispensed rows flow together to contact one another and leave a distribution of cells on the substrate surface that is relatively uniform on the substrate surface once fluid dispensing is complete and the fluid dries. Because maintaining a “wet edge” is an important aspect to ensure that the deposited cells retain their shape and are distributed homogeneously, adjustment of the row length can be used to directly influence the quality of the samples that are produced.

Typically, row lengths are adjusted so that rows of dispensed fluid occupy a percentage of the available area on the substrate surface. In some embodiments, for example, the substrate surface is rectangular in shape, and dispensed rows of fluid extend along 60% or more (e.g., 70% or more, 80% or more, 90% or more, 95% or more, 99% or more) of the longer dimension of the rectangular surface. Moreover, the systems disclosed herein can be configured to adjust the row length during dispensing, so that not all rows have the same length. For example, the row length can be changed to account for changes in the composition of the fluid, the temperature, and/or the humidity during fluid deposition.

In certain embodiments, the systems disclosed herein can be configured to dispense rows of fluid along different directions on the substrate surface. For example, to shorten the row length on a substrate with a rectangular surface, rows of fluid can be deposited by translating the applicator in a direction parallel to the shorter dimension of the rectangular surface. More generally, rows of fluid can be deposited by translating the applicator in any direction relative to the plane of the substrate surface. Thus, for example, to dispense rows of fluid that are intermediate in length between the short and long dimensions of a rectangular substrate surface, the rows of fluid can be dispensed at an angle to both surface edges; the angle can be selected to adjust the time delay between successive rows.

It has been discovered through careful experimentation that when translating the applicator in a direction parallel to the shorter dimension of the rectangular surface, certain row lengths provide particularly advantageous conditions for maintaining a “wet edge” during fluid deposition, and for drying the deposited fluid and cells. In particular, high quality samples (e.g., samples where cells are deposited uniformly and cell morphology is preserved) are obtained when the dispensed rows of fluid extend along 80% or more (e.g., 85% or more, 90% or more, 95% or more) of the shorter dimension of the rectangular surface of the substrate.

(viii) Humidity and Temperature

Both relative humidity and temperature affect the quality with which cells are deposited onto the substrate surface when fluid is dispensed from the applicator. In general, the rate at which the dispensed fluid dries is affected by both relative humidity and temperature. In environments where the relative humidity is higher and/or the temperature is lower, the dispensed fluid dries more slowly. Conversely, where the relative humidity is lower and/or the temperature is higher, the dispensed fluid dries more rapidly. Accordingly, controlling relative humidity and/or temperature provides another (or supplementary) technique for controlling the rate at which dispensed rows of fluid dry on the substrate surface, which in turn influences the extent to which a homogeneous distribution of cells is applied to the substrate surface, and the extent to which the shapes of the applied cells are preserved for analysis.

31

Referring again to FIG. 11, in some embodiments, the system can include one or both of a temperature sensor **2012** and a humidity sensor **2014**. Sensors **2012** and **2014** can be connected to control unit **160**, which is configured to receive measurements of temperature and humidity from sensors **2012** and **2014**, and to adjust parameters of the system (e.g., the fluid dispensing rate, the translation speed of the applicator, the adjacent row separation, the row length) to mitigate the effects of temperature and/or humidity.

In addition, in some embodiments, control unit **160** can be configured to control the temperature and/or relative humidity of the overall system. For example, to ensure that high quality samples are obtained, the temperature at which rows of fluid are dispensed can be maintained within a range of about 15° C. to about 27° C.

As another example, to ensure that high quality samples are obtained, the relative humidity at which rows of fluid are dispensed (i.e., within the fluid deposition system) can be maintained within a range of about 40% to about 60%.

(ix) Selection of Fluid Dispensing Parameters

As discussed above, a variety of different operating parameters, including the height of the applicator, the fluid dispensing rate, the relative translation speed of the applicator, the adjacent row separation, the row length, the temperature, and the humidity, influence the quality (e.g., homogeneity) of the cell distribution on the substrate surface, and the extent to which the deposited cells retain their natural shape. The systems disclosed herein can automatically select or determine combinations of these parameters to ensure that high quality samples are obtained.

In some embodiments, the systems include defined “profiles” that are specific to certain types of samples or environmental conditions. The profiles include pre-determined sets of parameters that are used to configure the systems for certain samples or environmental conditions. For example, the systems can include profiles for different humidity levels, and control unit **160** can be configured to select and apply a particular configuration profile based on a measurement of relative humidity (e.g., by sensor **2014**). As another example, the systems can include profiles for different temperatures, and control unit **160** can be configured to select and apply a particular configuration profile based on a measurement of temperature by sensor **2012**.

Specific configuration profiles can also be applied based on feedback from a system operator. For example, the system operator can provide information about the nature of the fluid being dispensed (e.g., whole blood, a suspension of blood components such as cells, and/or another body fluid), and control unit **160** can be configured to apply a pre-defined configuration profile for the fluid.

In some embodiments, the systems disclosed herein can be configured to automatically adjust one or more of the foregoing parameters to improve the quality of the samples prepared by deposition. As discussed above, when an unsuitable combination of parameters is used, the deposited cells are not distributed homogeneously on the substrate surface. It has further been observed that such cells are not stained uniformly. As a result, measurements of the cell distribution and/or stain uniformity and/or cell morphology can be used to guide adjustment of the operating parameters of the systems.

FIG. 14 is a flow chart **2300** showing a series of steps for adjusting one or more operating parameters of the systems disclosed herein. In step **2302**, an initial set of operating parameters are selected (e.g., from a stored configuration file and/or based on system operator input). Next, in step **2304**, rows of fluid are deposited on the surface of a substrate according to the operating parameters (e.g., the height of the

32

applicator, the fluid dispensing rate, the relative translation speed of the applicator, the adjacent row separation, the row length, the temperature, and the humidity) selected in step **2302**. Then, in step **2306**, the dispensed rows of fluid on the surface of the substrate are processed, e.g., by drying the rows, applying one or more staining solutions, fixing solutions, rinsing solutions, and/or other treatments, to yield a prepared sample for examination.

In step **2308**, the prepared sample is examined (typically at relatively low magnification) to acquire one or more sample images. Any of the imaging detectors disclosed herein can be used to acquire such images, which are then analyzed in step **2310** (e.g., by control unit **160**) to assess the homogeneity of the cell distribution and/or the uniformity of staining on the substrate surface. Metrics can be calculated to quantify the distribution or uniformity. For example, the standard deviation of light absorption over a portion of the sample on the substrate (or over the entire sample on the substrate) can be used to quantify and assess the distribution and/or uniformity of the dispensed sample. Relatively higher values of the standard deviation typically indicate that the stain and/or cells are distributed with less uniformity across the substrate.

Next, in step **2312**, one or more of the operating parameters can be adjusted based on the calculated metrics to improve the quality of the prepared samples (e.g., yield samples where deposited cells are more homogeneously distributed on the substrate surface and/or more uniformly stained). For example non-uniform staining of the deposited cells can be due to hemolysis and/or cell deformation. Depending on the extent of the non-uniform staining, the systems disclosed herein can be configured to adjust one or more of the applicator height, the fluid dispensing rate, the relative translation speed of the applicator, the adjacent row separation, the row length, the temperature, and/or the humidity to relieve hemolysis and/or cell deformation. In a similar manner, non-homogeneous cell distributions can be due to non-uniform fluid flow during dispensing, and the systems disclosed herein can be configured to adjust one or more of the applicator height, the fluid dispensing rate, the relative translation speed of the applicator, the adjacent row separation, the row length, the temperature, and/or the humidity to improve the uniformity of fluid flow during dispensing.

If the sample that has been prepared is a patient sample to be used for analysis, the analysis of the sample is then performed. Alternatively, if the sample is merely a test sample (e.g., a sample that is processed to calibrate the system), the test sample can optionally be discarded. A new substrate is then obtained in step **2314**, and the process shown in FIG. 14 is repeated.

In some embodiments, the operating parameters are adjusted subject to various constraints. For example, the relative humidity and temperature of the systems disclosed herein can be adjusted within certain limits, but neither the humidity nor the temperature can be adjusted without constraint, because the operation of other components in the systems disclosed herein can also be affected by changes in humidity and/or temperature.

Another constraint to which parameter adjustment can be subject is the total time consumed in dispensing the fluid volume onto the substrate surface. In general, samples are prepared by dispensing a controlled volume onto a controlled area of the substrate surface. To achieve high system throughput, the total time consumed in dispensing the fluid typically has an upper limit. Accordingly, the fluid deposition operating parameters are adjusted subject to the constraint that the upper time limit for fluid deposition is not exceeded. Thus, for example, if adjustment of the fluid dispensing rate would

cause the total dispensing time to exceed the upper limit, then the fluid dispensing rate can be maintained, and other parameters—such as the relative translation speed of the applicator, the adjacent row separation, and/or the row length—can be adjusted to control the quality of the samples obtained.

System Components

Returning again to FIG. 1A, manipulator **150** can include a variety of different devices. In general, manipulator **150** functions to translate sample applicator **100** in three different, orthogonal coordinate directions. Suitable manipulators include, for example, actuators activated by step-and-repeat motors, cams, and piezoelectric actuators.

Detector **106** can include a variety of devices for capturing images of sample applicator **100**. In some embodiments, for example, detector **106** can include a CCD array detector. In certain embodiments, detector **106** can include a CMOS-based array detector. Detector **106** can also include other optical imaging elements such as filters, lenses, beam splitters, and dispersive optical elements.

Stage **152** is configured to support substrate **102** during dispensing of fluid **104**. In certain embodiments, stage **152** is formed of a rigid material such as a metal (e.g., aluminum and/or stainless steel) and/or a plastic material (e.g., a Teflon®-based material). Stage **152** can also include actuators for translating substrate **102** (and part or all of stage **152**) in one or more coordinate directions, e.g., in the x-y plane, while applicator **100** remains stationary to dispense the sample fluid. In some embodiments, stage **152** can include actuators for changing an orientation of substrate **102** and part or all of stage **152**. Control unit **160** is connected to stage **152** and can issue control signals to stage **152** to initiate translation and/or re-orientation of substrate **102**.

In some embodiments, system **50** can include a light source **170** for directing incident light onto sample applicator **100**. Light source **170** can be electrically connected to control unit **160** as shown in FIG. 1A. Light source **170** can include, for example, one or more incandescent, fluorescent, diode-based, and/or laser sources. Incident light produced by light source **170** can include wavelengths in one or more of the ultraviolet, visible, and infrared regions of the electromagnetic spectrum.

Control unit **160** includes electronic processor **162**, which can be configured to control various components in system **50** and perform any of the method steps disclosed herein. For example, electronic processor **162** can be configured to direct detector **106** to capture one or more images of sample applicator **100** as described herein. Further, electronic processor **162** can be configured to direct light source **170** to emit incident light that illuminates sample applicator **100** during image capture.

Electronic processor **162** can be further configured to transmit control signals to manipulator **150** to cause manipulator **150** to translate sample applicator **100** and/or stage **152** as disclosed herein. In particular, control signals from electronic processor **162** can direct manipulator **150** to translate sample applicator **100** along the x- and/or y-coordinate directions of manipulator **150** to a new location relative to substrate **102**. Alternatively or in addition, electronic processor **162** can transmit control signals to stage **152** to translate substrate **102** in the x- and/or y direction, while the applicator **100** remains stationary. Electronic processor **162** can also transmit control signals that direct manipulator **150** to translate sample applicator **100** along the z-coordinate direction of manipulator **150** to a new height above substrate **102**, and can transmit control signals to stage **152** to translate substrate **102** (e.g., while sample applicator **100** remains stationary) to change the height of applicator **100** above substrate **102**.

In some embodiments, control unit **160** includes a storage unit **164**. Storage unit **164** can include, for example, one or more of a variety of different devices for storing information optically, electrically, and/or magnetically. Exemplary devices include, but are not limited to, magnetic storage devices such as hard drives and floppy disks, optical storage devices such as CD and/or DVD drives and their storage media, and electronic devices such as flash memory devices and solid state drives. Storage unit **164** can be configured to store any of the values or quantities disclosed herein, including the locations of sample applicator **100** relative to substrate **102**, control settings determined for the sample applicator that ensure the applicator is maintained at a constant height above the upper surface **102a** of substrate **102**, the measured heights of the sample applicator above substrate **102**, the separations μh and/or μt between images, the measured thicknesses of substrate **102**, and software containing instructions that, when executed, cause processor **162** to perform any one or more of the functions or steps disclosed herein.

Automated Sample Preparation Systems

The systems and methods disclosed herein can be used with a variety of different automated sample preparation systems. Exemplary systems are disclosed, for example, in U.S. patent application Ser. No. 13/293,050, filed on Nov. 9, 2011, and now published as U.S. Patent Application Publication No. US 2012/0149050, the entire contents of which are incorporated herein by reference.

FIG. **10** shows a schematic diagram of an embodiment of an automated sample preparation system **1000**. System **1000** includes multiple sub-systems for storing substrates, depositing samples on substrates, inspecting samples prepared on substrates, and storing prepared samples.

Substrate storage sub-system **1010** is configured to store substrates prior to the deposition of samples thereon. Substrates can include, for example, microscope slides, coverslips, and similar planar, optically transparent substrates. The substrates can be formed from a variety of different amorphous or crystalline materials including various types of glasses. Sub-system **1010** can include a manipulator that selects individual substrates from a storage container and transfers the selected substrates to sample deposition sub-system **1020**.

Sample deposition sub-system **1020** deposits a selected quantity of a sample of interest—such as a blood sample—onto a substrate. Sub-system **1020** includes, in general, a variety of fluid transfer components (e.g., pumps, fluid tubes, valves) configured to deposit the sample. The fluid transfer components can also be configured to expose the substrate to solutions of various types, including wash solutions, one or more stains that bind to the sample, fixing solutions, and buffer solutions. Sub-system **1020** can also feature fluid removal components (e.g., a vacuum sub-system) and a drying apparatus to ensure that the sample is fixed to the substrate. A substrate manipulator can transfer the substrate supporting the sample to imaging sub-system **1030**.

As discussed above, the methods and systems disclosed herein permit the determination of the thickness of substrate **102** based on images of the sample applicator that are reflected from lower surface **102b** of the substrate. This thickness information can be used by sample deposition sub-system **1020**. For example, as described in U.S. patent application Ser. No. 13/293,050 (incorporated by reference above), substrate thickness information can be used to determine how to orient the substrate in a specimen processing position, and the extent of agitation that occurs during the deposition process.

35

Inspection sub-system **1030** includes various components for obtaining images of samples on substrates, and for analyzing the images to determine information about the samples. For example, inspection sub-system **1030** can include one or more light sources (e.g., light emitting diodes, laser diodes, and/or lasers) for directing incident light to a sample. Imaging sub-system **1030** can also include an optical apparatus (e.g., a microscope objective) for capturing transmitted and/or reflected light from a sample. A detector (e.g., a CCD detector) coupled to the optical apparatus can be configured to capture images of the sample. Information derived from analysis of the images of the sample can be stored on a variety of optical and/or electronic storage media for later retrieval and/or further analysis.

Following inspection, a substrate manipulator can transfer the substrate to storage sub-system **1040**. Storage sub-system **1040** can label individual substrates, for example, with information relating to the source of the sample applied to the substrate, the time of analysis, and/or any irregularities identified during analysis. Storage sub-system can also store processed substrates in multi-substrate racks, which can be removed from system **1000** as they are filled with substrates.

As shown in FIG. 10, each of the various sub-systems of system **1000** can be linked to a common electronic processor **1050** (which can be the same as electronic processor **162**, or a different electronic processor). Processor **1050** can be configured to control the operation of each of the sub-systems of system **1000** in automated fashion, with relatively little (or no) input from a system operator. Results from the analysis of samples can be displayed on system display **1060** for a supervising operator. Interface **1070** permits the operator to issue commands to system **1000** and to manually review the automated analysis results.

Hardware and Software Implementation

The method steps and procedures described herein can be implemented in hardware or in software, or in a combination of both. In particular, an electronic processor (e.g., electronic processor **162**) can include software and/or hardware instructions to perform any of the methods discussed above. The methods can be implemented in computer programs using standard programming techniques following the method steps and figures disclosed herein, and stored on a variety of non-transitory media such as magnetic disks, optical storage media such as compact discs and DVDs, solid state memory devices such as flash memory, and mechanical storage media such as hard disks. Program code is applied to input data to perform the functions described herein. The output information is applied to one or more output devices such as a printer, or a display device, or a web page on a computer monitor with access to a website, e.g., for remote monitoring.

Each program is preferably implemented in a high level procedural or object oriented programming language to communicate with a processor. However, the programs can be implemented in assembly or machine language, if desired. In any case, the language can be a compiled or interpreted language. Each computer program can be stored on a storage medium or device (e.g., an electronic memory) readable by the processor, for configuring and operating the processor to perform the procedures described herein.

Other Embodiments

A number of embodiments have been described. Nevertheless, it will be understood that various modifications may be

36

made without departing from the spirit and scope of the disclosure. Accordingly, other embodiments are within the scope of the following claims.

What is claimed is:

1. A method for preparing a sample on a substrate, the method comprising:

obtaining an image of a sample applicator in proximity to the substrate, the image comprising a direct image region corresponding to the sample applicator and a first reflected image region corresponding to an image of the sample applicator reflected from a surface of the substrate;

determining a position of an edge of the sample applicator in the direct image region;

determining a position of a reflected edge of the sample applicator in the first reflected image region;

determining a distance between the edge of the sample applicator and the reflected edge of the sample applicator;

determining the position of the sample applicator relative to a surface of the substrate based on the distance between the edges; and

dispensing the sample onto the substrate using the sample applicator, wherein during the dispensing the position of the sample applicator relative to the substrate is maintained.

2. The method of claim 1, further comprising translating the sample applicator relative to the substrate during the dispensing.

3. The method of claim 2, wherein the sample applicator extends along an axial direction, and wherein an inner surface of the sample applicator is beveled at an angle to the axial direction.

4. The method of claim 1, wherein the sample applicator comprises a channel having an inside diameter of between 300 microns and 650 microns.

5. The method of claim 1, wherein the sample applicator comprises a hollow tubular member and a coating formed on an outer surface of the tubular member.

6. The method of claim 5, wherein the sample applicator comprises a needle.

7. The method of claim 1, wherein the sample applicator comprises a channel through which the sample flows, and wherein the channel has a non-circular cross-sectional shape.

8. The method of claim 6, wherein the cross-sectional shape of the channel is oval.

9. The method of claim 2, wherein the sample applicator is translated relative to the substrate at a speed of between 80 mm/s and 90 mm/s during the dispensing.

10. The method of claim 1, wherein the sample is dispensed onto the substrate at a rate of 0.05 $\mu\text{L/s}$ or more.

11. The method of claim 1, wherein the sample is dispensed onto the substrate in a pattern of adjacent rows, and wherein a separation between adjacent rows during the dispensing is between 0.20 mm and 0.60 mm.

12. The method of claim 1, wherein the sample comprises blood and the substrate is a microscope slide.

13. A system, comprising:

a sample applicator; and

an electronic processor, wherein the electronic processor is configured to dispense a sample onto a substrate using the method of claim 1.

* * * * *